

Appendix 1: Details of the Proposed Amendments

PART A

Issue number	Rule reference	Description and objective of proposed changes	Details of proposed changes (tracked where appropriate)
1.	Part A: Proposed new definition of the expression " applicant "	<p>Proposed cosmetic amendment. The shifting of an existing embedded defined term from rule 3.1 of schedule J1 into part A.</p> <p>The objective of this proposed amendment is to promote ease of interpretation of the Rules.</p>	<p>A new defined term based on the earlier embedded definition in rule 3.1 of schedule J1, as follows:</p> <p>applicant means, for the purposes of schedule J1, a reconciliation participant or a new reconciliation participant requiring certification of its facilities, processes and procedures, and other relevant items, to gather, process or manage information, in accordance with schedule J1</p>
2.	Part A: The definition of the expression " auditor "	<p>Proposed consequential amendment. It is proposed that the definition of the expression "auditor" be amended to reflect the new rule 5.1A of schedule J1, and also the proposed amendment that auditors must be approved under parts D and E.</p> <p>The objective of this proposed amendment is to clarify the intended scope of the term as a result of the proposed amendments to the "auditor approval" regime (see rule 5.1A of schedule J1).</p>	<p>The proposed alterations:</p> <p><u>"auditor" means a person selected to carry out an audit, and for the purposes of parts D, E and J, a person a person selected to carry out an audit approved by the Board;</u></p>
3.	Part A: Proposed new definition of the expression " consumption pattern "	<p>Proposed cosmetic amendment. The shifting of an existing embedded defined term from rule 2 of Appendix 2 to schedule J5 into part A.</p> <p>The objective of this proposed amendment is to promote ease of interpretation of the Rules.</p>	<p>A new defined term based on the earlier embedded definition in rule 2 of Appendix 2 to schedule J5, as follows:</p> <p>consumption pattern means, for the purposes of this part A and schedule J5, the shape of the half hourly consumption</p>
4.	Part A: The definition of the expression " embedded network "	<p>Proposed clarificatory amendment. It is proposed that the definition of the expression "embedded network" be amended to reflect the requirement that a metering installation quantifies electricity flow at the point of connection.</p> <p>The objective of this proposed amendment is to clarify the intended scope of the defined term, and, therefore, the application of several of the relevant obligations in</p>	<p>The insertion of the words "where the electricity flow at the point of connection between the two networks is quantified by a metering installation in accordance with part D of the rules" after the words "embedded generators".</p>

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		the Rules by the explicit requirement of the quantification of electricity between the two relevant networks.	
5.	Part A: Proposed new definition of the expression “exceptional circumstances”	<p>Proposed cosmetic amendment. The shifting of existing embedded defined terms from rule 5.4 of schedule J2 and rule 4 of schedule J3 into part A.</p> <p>The objective of this proposed amendment is to promote ease of interpretation of the Rules.</p>	<p>A new defined term based on the earlier embedded definitions in rule 5.4 of schedule J2 and rule 4 of schedule J3, as follows:</p> <p>exceptional circumstances means, for the purposes of part J, circumstances where access to the relevant meter is not achieved despite the reconciliation participant’s best endeavours</p>
6.	Part A: The definition of the expression “grid exit point”	<p>Proposed corrective amendment. It is proposed that the definition of the expression “grid exit point” be amended.</p> <p>The objective of this proposed amendment is to clarify the defined term so that it:</p> <ul style="list-style-type: none"> (a) is more easily understood; (b) achieves its objective (being the accurate description of certain points on the grid to which certain obligations in the Rules apply); and (c) does not contain any potential inconsistency or ambiguity. <p>A consequential amendment is proposed to rule 6.2 of part H of the Rules.</p>	<p>The proposed alterations:</p> <p>grid exit point and GXP mean <u>any point of connection on the grid:</u></p> <ul style="list-style-type: none"> (a) a point of connection on the grid where electricity may flow out of the grid or as determined by the Board following an application under rule 4 of section II of part G; and (b) in relation to part G, part H and part J where electricity is sold to the clearing manager by an embedded generator, the grid exit point will be deemed, for all purposes under these rules, to be a grid injection point <p><u>(a) where electricity may flow out of the grid; or</u></p> <p><u>(b) determined as being such by the Board following an application under rule 4 of section II of part G.</u></p> <p><u>For clarity, except as provided for in rule 6.2 of part H, such a point of connection may, from time to time, constitute either a</u></p>

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			<u><i>grid exit point or a grid injection point, but not both at the same time</i></u>
7.	Part A: The definition of the expression “ grid injection point ”	<p>Proposed corrective amendment. It is proposed that the definition of the expression “grid injection point” be amended.</p> <p>The objective of this proposed amendment is to clarify the defined term so that it:</p> <ul style="list-style-type: none"> (a) is more easily understood; (b) achieves its objective (being the accurate description of certain points on the grid to which certain obligations in the Rules apply); and (c) does not contain any potential inconsistency or ambiguity. <p>A consequential amendment is proposed to rule 6.2 of part H of the Rules.</p>	<p>The proposed alterations:</p> <p><u><i>grid injection point and GIP mean any point of connection on the grid where electricity may flow into the grid. For clarity, except as provided for in rule 6.2 of part H, such a point of connection may, from time to time, constitute either a grid injection point or a grid exit point, but not both at the same time:</i></u></p> <p>(a) any point of connection on the grid where electricity may flow into the grid; and</p> <p>(b) for the purposes of part G, part H and part J, and despite anything else in these rules, any point of connection on the grid where electricity is sold to the clearing manager by an embedded generator</p>
8.	Part A: The definition of the expression “ historical estimate ”	<p>Proposed clarificatory amendment. It is proposed that the definition of the expression “historical estimate” be amended by including reference to any other profile which has been approved by the Board.</p> <p>The objective of this proposed amendment is to seek to accommodate some participants’ non-use of seasonal adjustment shapes, by allowing the use of any profile approved by the Board, in the apportionment of unmetered load, without compromising the quality or integrity of the overall reconciliation process.</p>	<p>The insertion of the words “, or any other profile which has, from time to time, been approved by the Board for this purpose,” after the words “seasonal adjustment shape”.</p>
9.	Part A: Proposed new definition of the expression “ interconnection point ”	<p>A proposed clarificatory amendment. Proposed new definition of the expression “interconnection point”.</p> <p>The objective of this proposed amendment is to assist in the accurate description of certain points of connection,</p>	<p>The insertion of:</p> <p><u><i>interconnection point means any point of connection that measures electricity flow between, on the one hand, any particular local network or embedded network, where that</i></u></p>

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		to aid in the interpretation of the application of some of the obligations in the Rules.	point of connection is not (by reference to the volume of electricity flowing) the primary point of connection for that embedded network , and on the other hand, any other local network or embedded network
10.	Part A: The definition of the expression " loss factor "	<p>Proposed consequential amendment. It is proposed that the definition of the expression "loss factor" be amended, in light of the proposed introduction of Board-notified loss factors.</p> <p>The objective of this proposed amendment is to accommodate the proposed Board-notified default loss factors.</p>	<p>The proposed alterations:</p> <p>loss factor means the factor <u>identified by reference to a loss category within the registry, to be applied to submission information to obtain loss adjusted information at the relevant NSP, which factor, for the purposes of these rules, will be:</u></p> <p>(a) <u>as set out in the report to be provided by the registry in accordance with rule 24.2.2 of part E; or</u></p> <p>(b) <u>if there has not been a report provided by the registry as described in paragraph (a), as has been notified by the Board in accordance with rule 7 of schedule J4</u></p>
11.	Part A: Proposed new definition of the expression " new reconciliation participant "	<p>Proposed cosmetic amendment. The shifting of an existing embedded defined term from rule 3.3.1 of schedule J1 into part A.</p> <p>The objective of this proposed amendment is to promote ease of interpretation of the Rules.</p>	<p>A new defined term based on the earlier embedded definition in rule 3.3.1 of schedule J1, as follows:</p> <p>new reconciliation participant means, for the purposes of part J, a person or entity who is not a reconciliation participant within the meaning of the rules but intends to become one</p>
12.	Part A: Proposed new definition of the expression " participant identifier "	<p>Proposed clarificatory amendment. Proposed new definition of the expression "participant identifier".</p> <p>The objective of this proposed amendment is to promote ease of interpretation of the Rules.</p>	<p>The insertion of:</p> <p>participant identifier means a unique four letter code that is used to identify a reconciliation participant in the reconciliation and registry process</p>
13.	Part A: The definition of the expression " profile applicant "	Proposed consequential amendment. It is proposed that the definition of the expression " profile applicant " be amended.	<p>The proposed alterations:</p> <p>"profile applicant" means the participant that submitted the</p>

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		<p>The objective of this proposed amendment is to accommodate applicants applying not only for the introduction of new profiles (which is already provided for under the existing Rules), but also proposed changes being made to existing profiles.</p>	<p>application to the market administrator to introduce <u>approve</u> a new profile <u>or a change to an existing profile</u>, and may be a joint entity with more than one participant or an independent commercial entity acting on behalf of a participant or participants;</p>
14.	Part A: The definition of the expression " raw meter data "	<p>Proposed corrective amendment. It is proposed that the second definition of the expression "raw meter data" be deleted.</p> <p>The objective of this proposed amendment is to correct a previous, inadvertent, inclusion of an old incorrect definition.</p>	<p>The deletion of:</p> <p>"raw meter data" means the information in the form received directly from a metering installation and includes all factors relating to that data (such as the k factor, m factor and loss factor);</p>
15.	Part A: Proposed new definition of the expression " requesting participant "	<p>Proposed cosmetic amendment. The shifting of an existing embedded defined term from rule 8.1 of schedule J1 into part A.</p> <p>The objective of this proposed change is to promote ease of interpretation of the Rules.</p>	<p>A new defined term based on the earlier embedded definition in rule 8.1 of schedule J1, as follows:</p> <p>requesting participant means, for the purposes of schedule J1, any reconciliation participant who makes a request in accordance with rule 8.1 of schedule J1</p>
16.	Part A: The definition of the expression " submission information "	<p>Proposed consequential amendment. It is proposed that the definition of the expression "submission information" be amended.</p> <p>The objective of this proposed amendment is to include information required by the profile to be supplied as part of submission information.</p>	<p>The insertion of the words "or control times" after the words "any profile shape".</p>
17.	Part A: The definition of the expression " unaccounted for electricity "	<p>Proposed clarificatory amendment. It is proposed that the definition of the expression "unaccounted for electricity" be amended to reflect the meaning of the expressions "TOT_{BA}" and "Q_{BA-EN}" in rule 8.1 of schedule J4.</p> <p>The objective of this proposed amendment is to clarify the previous incorrect definition.</p>	<p>The proposed alterations:</p> <p>unaccounted for electricity and UFE mean, for any balancing area, the quantity of electricity which is the difference between:</p> <p>(a) the quantity of electricity injected into a local network or embedded network<u>the</u></p>

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			<p><u>net total of all electricity injected into that balancing area less all electricity leaving the balancing area as measured at:</u></p> <p>(i) <u>the NSPs in respect of that balancing area; and</u></p> <p>(ii) <u>the ICPs for any embedded generators connected to that balancing area; and</u></p> <p>(b) <u>the quantity of electricity consumed within that balancing area as indicated in the submission information after application of loss factors and adjustment for ICP days all electricity supplied to consumers connected to that balancing area, being the sum of the consumption parts of submission information, adjusted for losses and ICP days</u></p> <p>as calculated per trading period by the reconciliation manager pursuant to rule 8 of schedule J4</p>
18.	Part A	Several corrective, formatting, consistency-related and cosmetic amendments, which in no way impact on the meaning or effect of the Rules, set out in the section entitled “Part A” in the Attachment.	For details refer to the section entitled “Part A” in the Attachment.

PART D

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
1.	Rule 3.1 of part D	<p>Proposed corrective amendment. It is proposed that rule 3.1 of part D be amended by the deletion of the words “<i>in accordance with the rules</i>”, which currently appear in the third line, and their replacement with the expression “<i>by the Board</i>”.</p> <p>The objective of this proposed amendment is to clarify that the approval must be given, if appropriate, by the Board.</p>	<p>The deletion of the words “<i>in accordance with the rules</i>” which will be replaced with the words “<i>by the Board</i>”.</p>
2.	Rule 3.1 of part D	<p>Proposed clarificatory amendment. It is proposed that rule 3.1 be amended to allow retailers to quantify only unmetered load by a method of calculation approved by the Board.</p> <p>The objectives of this proposal are to provide that:</p> <p>(a) “other” methods of calculation may only be used for quantifying unmetered load; and</p> <p>(b) any such “other” method must be approved by the Board.</p>	<p>The insertion of the words “<i>by the Board</i>” after the words “<i>a method of calculation approved</i>”.</p> <p>The insertion of the words “<i>in the case of unmetered load</i>” after the words “<i>metering installation or</i>”.</p>
3.	Rule 3.4 of part D	<p>Proposed consequential corrective amendment. It is proposed that rule 3.4 of part D be amended so as to provide an exception for embedded generators to which rule 3.2 of part J applies and to state that the conveyance of electricity must be quantified by using the metering installation.</p> <p>The objective of this proposed amendment is to:</p> <p>(a) provide clarification that this rule does not apply to embedded generators which are subject to the new rule 3.2 of part J; and</p>	<p>The insertion of the words “<i>(except an embedded generator to which rule 3.2 of part J applies)</i>” after the words “<i>embedded generator</i>”.</p> <p>The insertion of the words “<i>and that the conveyance of electricity is quantified by using that metering installation</i>” after the words “<i>point of connection</i>” in the last line.</p>

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		(b) clarify that embedded generators must quantify the conveyance of electricity using the metering installation.	
4.	Rule 3.7 of part D	<p>Proposed clarificatory amendment. It is proposed that rule 3.7 of part D be replaced.</p> <p>The objective of this proposed amendment is to clarify that embedded network owners who initiate points of connection, and network owners who initiate an NSP with another network, must provide, and use to quantify the conveyance of electricity, a metering installation at the relevant point of connection.</p>	<p>The deletion of rule 3.7 of part D which will be replaced with:</p> <p>3.7 Responsibility of network owners for meters</p> <p><i>Each:</i></p> <p>(a) embedded network owner who initiates a point of connection to another network; and</p> <p>(b) network owner who initiates an NSP with another network</p> <p><i>must ensure that a metering installation is provided at that interconnection point and that the conveyance of electricity through that interconnection point is quantified by using that metering installation.</i></p>
5.	Rule 2.4 of Code Of Practice (COP) D5	<p>Proposed expansion of the application of an existing obligation. It is proposed that rule 2.4 of COPD5 be replaced.</p> <p>The objective of this proposed amendment is to clarify the respective roles and responsibilities of the market administrator and the COPD5 review panel. This includes that the review panel must, within 15 business days of receiving it, make a recommendation on any proposed variations to the codes of practice D2 to D4, and that the market administrator must, within five business days of receiving the recommendation, publish the approval.</p>	<p>The deletion of rule 2.4 of COPD5 which will be replaced with:</p> <p><i>The review panel must consider each application for variation under this code of practice. The review panel must make a recommendation to the market administrator within 15 business days of receiving the application for variation. The market administrator must, taking into account the review panel's recommendation, either:</i></p> <p>2.4.1 <i>approve (with any conditions it considers appropriate), and if it does so approve any such application for variation, publish such approval; or</i></p>

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			<p>2.4.2 <i>decline any such application for approval within 5 business days of receiving the recommendation from the review panel.</i></p>
6.	Rule 2.5 of COPD5	<p>Proposed expansion of the application of an existing obligation. It is proposed that rule 2.5 of COPD5 be amended by stating that the review panel must make a recommendation to the market administrator.</p> <p>The objective of this proposed amendment is to clarify the respective roles and responsibilities of the market administrator and the COPD5 review panel. This includes that the review panel must, make a recommendation to the market administrator, and that the market administrator must, within five business days of receiving the recommendation, publish if approved.</p>	<p>The insertion of the words:</p> <p><i>and make a recommendation to the market administrator within 15 business days of receiving the objection. The market administrator must, taking into account the review panel's recommendation:</i></p> <p>2.5.1 <i>adopt (with any conditions it considers appropriate) the review panel's recommendation, publish details; or</i></p> <p>2.5.2 <i>decline to adopt the review panel's recommendation</i></p> <p><i>within 5 business days of receiving the recommendation from the review panel.</i></p> <p>after the words "<i>of this review</i>".</p>
7.	Rule 3 of COPD5	<p>Proposed clarificatory amendment. It is proposed that rule 3 of COPD5 be amended by removing the reference to and "<i>agreement</i>" and by expressly stating that approval will be given by the market administrator.</p> <p>The objective of this proposed amendment is to clarify that certain roles are to be carried out by the COPD5 review panel and certain roles by the market administrator.</p>	<p>The deletion of the words "<i>agreement entered into or</i>".</p> <p>The insertion of the words "<i>by the market administrator</i>" after the words "<i>variation approved</i>".</p>
8.	Rule 4 of COPD5	<p>Proposed clarificatory amendment. It is proposed that rule 4 of COPD5 be amended by referring to the expression "<i>market administrator</i>" instead of "<i>review panel</i>" and "<i>variation</i>" instead of "<i>agreement</i>".</p>	<p>The deletion of the word "<i>review panel</i>" which will be replaced with the words "market administrator".</p> <p>The deletion of the word "<i>agreement</i>" which will be replaced with the word "<i>variation</i>".</p>

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		The objective of this proposed amendment is to clarify that certain roles are to be carried out by the COPD5 review panel and, respectively, the market administrator, and that the COP applies to variations, to promote consistency within the Rules.	
9.	Part D	Several corrective, formatting, consistency-related and cosmetic amendments, which in no way impact on the meaning or effect of the Rules, set out in the section entitled " <i>Part D</i> " in the Attachment.	For details refer to the section entitled " <i>Part D</i> " in the Attachment.

PART E

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
1.	Rule 2.1 of part E	<p>Proposed clarificatory amendment. It is proposed that rule 2.1 of part E be amended by the replacement of the introductory wording.</p> <p>The objective of this proposed amendment is to achieve better consistency with rule 2 of part E, and therefore aid better interpretation of the Rules.</p>	<p>The deletion of the words:</p> <p><i>All points of connection must be obtain an ICP identifier by the party specified in rule 2.2 as having the responsibility to do so, if such points of connection are on a local network, or an embedded network, at which:</i></p> <p>which will be replaced with the words:</p> <p><i>The person specified in rule 2.2 as having the responsibility to do so must obtain an ICP identifier for all points of connection on a local network or an embedded network at which any of the following occurs:</i></p>
2.	Rule 2.1 of part E	<p>Proposed expansion of an existing obligation. It is proposed that rule 2.1 of part E be amended by the clarification of further classes of points of connection for which ICP identifiers must be obtained. These are points of connection on either local networks or embedded networks, at which there is a distributor status ICP, either:</p> <ul style="list-style-type: none"> (a) on the parent network point of connection on an embedded network; and (b) at the point of connection of shared unmetered load. <p>The objective of this proposed amendment is to clarify categories of ICP for which ICP identifiers must be obtained to ensure that the overall integrity and completeness of the reconciliation process is maintained.</p>	<p>The deletion of the word “or” in rule 2.1.3 of part E.</p> <p>The insertion of:</p> <p><i>...; or</i></p> <p>2.1.5 <i>there is a distributor status ICP:</i></p> <ul style="list-style-type: none"> 2.1.5.1 <i>on the parent network point of connection of an embedded network;</i> <i>or</i> 2.1.5.2 <i>at the point of connection of shared unmetered load.</i>
3.	Rule 2.2 of part E	Proposed expansion of an existing obligation. It is proposed that rule 2.2 of part E be amended so as to	The insertion of the words “, before such time as they assume responsibility for that ICP in accordance with the rules ,” after

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		<p>clarify the time by which ICP identifiers must be obtained (which is before the relevant participant assumes responsibility for the ICP under the Rules).</p> <p>The objective of this proposed amendment is to clarify the timing requirements of this obligation.</p>	<p>the words <i>“The following parties must”</i>.</p>
4.	Rule 2.2 of part E	<p>Proposed corrective amendment. It is proposed that rule 2.2 of part E be amended by the correction of the cross reference to rule 1.1.1 of schedule E1, to rule 1.1 of schedule E1.</p> <p>The objective of this proposed amendment is to eliminate a previously included incorrect cross-reference within the Rules.</p>	<p>The deletion of the words <i>“1.1.1”</i> which will be replaced with the words <i>“1.1”</i>.</p>
5.	Rule 2.2 of part E	<p>Proposed expansion of the application of an existing obligation. It is proposed that rule 2.2 of part E be amended by the inclusion of two additional categories of points of connection.</p> <p>The objective of this proposed amendment is to introduce a new obligation on two new categories of participants responsible for obtaining ICP identifiers to ensure completeness and integrity of the overall reconciliation process.</p>	<p>The deletion of the word <i>“or”</i> in rule 2.2.2 of part E.</p> <p>The insertion of:</p> <p>... ;</p> <p>2.2.4 <i>The embedded network owner for the point of connection to the parent network; or</i></p> <p>2.2.5 <i>The network owner for a shared unmetered load point of connection to the network.</i></p>
6.	Rule 8.1 of part E	<p>Proposed amendment to an existing obligation and imposition of a new obligation. It is proposed that rule 8.1 of part E be amended by:</p> <ul style="list-style-type: none"> (a) extending the timeframe for compliance with the obligation to notify the reconciliation manager and the market administrator; and (b) introducing a new obligation to notify affected participants at least five business days before the NSP is livened or decommissioned. 	<p>The deletion of the word <i>“three”</i> which will be replaced with the word <i>“five”</i>.</p> <p>The insertion of the words <i>“, and must notify affected participants at least 5 business days before the NSP is livened or decommissioned”</i> after the word <i>“decommissioned”</i>.</p>

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		<p>The objective of this proposed amendment is to:</p> <ul style="list-style-type: none"> (i) increase to five the number of business days within which a distributor must notify the reconciliation manager and the market administrator; and (ii) impose an additional obligation on the distributor to notify all affected participants. <p>to ensure the completeness and integrity of the overall reconciliation process.</p>	
7.	Rule 9.2 of part E	<p>Proposed corrective amendment. It is proposed that rule 9.2 of part E be amended to refer to the expression “party” instead of “participant”.</p> <p>The objective of this proposed amendment is to promote consistency within the Rules by acknowledging that reconciliation participants may carry out certain functions through agents which may not, themselves, be participants.</p>	The deletion of the word “ participant ” which will be replaced by the word “party”.
8.	Rule 10 of part E	<p>Proposed clarificatory amendment. It is proposed that rule 10 of part E be amended by referring to the audit processes set out in rule 8 of schedule J1.</p> <p>The objective of this proposed amendment is to draw a link between rule 10 of part E and rule 8 of schedule J1.</p>	<p>The insertion of the words:</p> <p><i>In addition, any Board or participant requested audits must be carried out in accordance with the requirements in rule 8 of schedule J1 (with all necessary amendments).</i></p>
9.	Rule 19.2 of part E	<p>Proposed amendment to an existing obligation. It is proposed that rule 19.2 of part E be amended because this obligation is already provided for in part J.</p> <p>The objective of this proposed amendment is to remove the obligation to submit discrepancies to the registry, in order to achieve better consistency within the Rules.</p>	The deletion of the words “, and identified, and have notified in writing to the registry , any discrepancies (giving all relevant details)”.

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10.	Rule 20 of part E	<p>Proposed corrective amendment. It is proposed that rule 20 of part E be amended to reflect that information is provided, in accordance with part E, to parties other than just the registry.</p> <p>The objective of this proposed amendment is to correct an inaccuracy previously included in the Rules.</p>	<p>The deletion of the words “<i>the registry</i>” which will be replaced with the words “<i>persons in accordance with this part E</i>”.</p>
11.	Rule 24.1 of part E	<p>Proposed replacement of rule 24.1 of part E. This is to provide that reports can be requested by any of the clearing manager, the systems operator and the reconciliation manager, identifying all relevant active NSPs, as a one-off request, or at a specified frequency over a set period, or indefinitely.</p> <p>The objective of this proposed amendment is to alter the circumstances in which the registry must provide reports, and the frequency at which, and period during which, they must be provided. The objective of this proposal is to increase efficiencies and to avoid functions being complied with when there is no need for them.</p>	<p>The deletion of rule 24.1 of part E which will be replaced with:</p> <p>24.1 Reports to the clearing manager, system operator or reconciliation manager</p> <p><i>Any of the clearing manager, the system operator and the reconciliation manager may request, in writing, by no later than the date being 5 business days before the last day of the month before the first month in which the requesting party is requesting that the report be provided, providing all relevant details, a report, identifying all active NSPs connected to a network, for which a retailer is, and has over the immediately preceding 14 calendar months been, responsible, including active NSPs connected to a local network during the immediately preceding 14 calendar months, and the dates on which each retailer’s responsibility under the rules commenced and ceased. If and in the event that any such request is received:</i></p> <p>24.1.1 <i>the report must be provided by the registry by 1000 hours on the first business day of the calendar month following the calendar month in which the request was made by the relevant person in accordance with rule 24.1, or if the request for the report specifies a later date, that later date;</i></p>

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			<p>24.1.2 any request made in accordance with this rule 24.1 may:</p> <p>24.1.2.1 be a one-off request;</p> <p>24.1.2.2 specify a frequency over a particular period; or</p> <p>24.1.2.3 specify a frequency over an indefinite period until terminated by the requesting person; and</p> <p>24.1.3 the person who requested the report in accordance with this rule 24.1 may, by giving notification to the registry of the relevant details in writing by no later than the date being 5 business days before the last day of the month before the first month in which the person in requesting the variation to be effective, alter any of the details set out in the request made in accordance with rule 24.1.2. The registry must comply with any such request by 1000 hours on the first business day of the calendar month following the calendar month in which the request was made by the relevant person in accordance with this rule 24.1.3.</p>
12.	Rule 25.1 of part E	<p>Proposed corrective agreement. It is proposed that rule 25.1 of part E be amended by the replacement of the word “view” with the word “access”.</p> <p>The objective of this proposed amendment is to clarify that a participant may access the information in relation to any individual ICP, as opposed to simply viewing it,</p>	The deletion of the word “view” which will be replaced with the word “access”.

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		aligning the Rules with current practice.	
13.	Rule 1.1.2.2 of schedule E1	<p>Proposed expansion of an existing obligation. It is proposed that rule 1.1.2.2 of schedule E1 be amended to provide that ICP identifiers are to be used to identify all points of connection between shared unmetered load and its parent network.</p> <p>The objective of this proposed amendment is to expand the rule so as to include points of connection between a shared unmetered load and its parent network, in order to enable the registry and other participants to more easily interpret the information and communications provided to them.</p>	<p>The proposed alterations:</p> <p><u>Embedded Nnetwork or shared unmetered load identified</u></p> <p><i>The point of connection between an embedded network and its parent network, or the point of connection between a shared unmetered load and its parent network.</i></p>
14.	Rule 1.1.3 of schedule E1	<p>Proposed consequential amendment. It is proposed that rule 1.1.3 of schedule E1 be amended so that it also refers to the point of connection between shared unmetered load and its parent network.</p> <p>The objective of this proposed amendment is to expand the rule so as to include points of connection between shared unmetered load and its parent network as a consequence of the proposed amendment to rule 1.1.2.2 of schedule E1, thereby ensuring that the intended obligations apply.</p>	<p>The proposed alterations:</p> <p><u>Rules applicable to an embedded network point of connection or a shared unmetered load point of connection</u></p> <p><i>Despite any other rule to the contrary, when an ICP identifier is used to identify the <u>any</u> point of connection between:</i></p> <p><u>1.1.3.1</u> <i>-an embedded network and its parent network;</i> <i><u>and</u></i></p> <p><u>1.1.3.2</u> <i>shared unmetered load and its parent network,</i></p> <p><i>only the obligations contained in rules 1.1, 1.2, 1.6, 2.1-2 to 2.7, and 2.14 apply. <u>When an ICP identifier is used in respect to</u> of <u>the management of ICP status</u>, rules 4.1, 4.4 and 4.8 apply.</i></p>
15.	Rule 1.7 of schedule E1	Proposed introduction of a new obligation. It is proposed that there be a new rule 1.7 of schedule E1, providing that embedded generators having a capacity of 10MW or over must have their own unique loss category code.	<p>The insertion of:</p> <p>1.7 Embedded generators of capacity of 10MW and over</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>The objective of this proposed amendment is to introduce a new rule applying to network owners of embedded generators of capacity of 10MW and over, being required to have a unique loss category code in order to identify generation for the calculation of the levy.</p>	<p>1.7.1 Every embedded generator having a capacity of 10MW and over must have a unique loss category code.</p> <p>1.7.2 The distributor must notify the reconciliation manager within five business days of creating a loss category code under rule 1.7.1, of the following:</p> <p>1.7.2.1 the ICP for which the loss category code applies for;</p> <p>1.7.2.2 the NSP for which the ICP connects to;</p> <p>1.7.2.3 the loss category code; and</p> <p>1.7.2.4 the generator plant name.</p>
16.	Rule 2.1 of schedule E1	<p>Proposed corrective amendment. It is proposed that rule 2.1 of schedule E1 be deleted.</p> <p>The objective of this proposed amendment is to correct a previously included error in the Rules (in light of rule 5.3 of part E).</p>	The deletion of rule 2.1 of schedule E1.
17.	Rule 2.7 of schedule E1	<p>Proposed clarificatory amendment. It is proposed that rule 2.7 of schedule E1 be amended by requiring that in the case of the ICP that is on the parent network at the embedded network point of connection, the status must be shown as “DEDICATED”.</p> <p>The objective of this proposed amendment is to clarify the rule in relation to embedded network gateway points of connection, and to align the rule with current practice.</p>	The insertion of the words “ <i>In the case of the ICP that is located on the parent network at the embedded network point of connection, the status must be shown as “DEDICATED”</i> ” after the words “ <i>in accordance with rule 2.3</i> ”.

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
18.	Rule 4.5.2 of schedule E1	<p>Proposed corrective amendment. It is proposed that rule 4.5.2 of schedule E1 be amended by referring, instead to “part J” instead of “schedule J3”, and by referring to “information” instead of “submission information”.</p> <p>The objective of this proposed amendment is to clarify the actual obligations referred to within the rule.</p>	<p>The deletion of the words “submission information” which will be replaced with the word “information”.</p> <p>The deletion of the words “schedule J3” which will be replaced with the words “part J”.</p>
19.	Rule 5.3 of schedule E1	<p>Proposed clarificatory amendment. It is proposed that rule 5.3 of schedule E1 be amended by providing that:</p> <p>(a) any new loss category code or change to the value of or applicable time period for a loss factor, will not take effect until at least three months, from the date of advice to the registry;</p> <p>(b) that the registry must be advised instead of the market administrator; and</p> <p>(c) the rule does not apply to any distributor which is introducing a new ICP to any particular network.</p> <p>The objective of this proposed amendment is to ensure that the market administrator has sufficient lead in time to ensure that it has the correct, up-to-date, loss category codes and loss factors. It is proposed that the rule will not apply to a distributor which is introducing a new ICP to any particular network.</p>	<p>The proposed alterations:</p> <p>5.3 Distributors to advise market administrator registry</p> <p><i>Distributors must advise the market administrator registry of their intention to add new loss category codes or to change the value or applicable time period of any existing loss factor, by populating and forward-dating the loss category code in the registry, at least three months before the change is to take effect, or new code being added. Any new loss category code, or change to the value or applicable time period of, any existing loss factor, will not take effect until at least 3 months from the date on which the registry receives such advice. For clarity, this rule does not apply to any distributor which is creating a new NSP or introducing a new ICP to any particular network.</i></p>
20.	Rule 5.4 of schedule E1	<p>Proposed amendment to an existing obligation. It is proposed that rule 5.4 of schedule E1 be amended by providing that:</p> <p>(a) any change to an existing loss factor will not take effect until at least two months from the date of advice to the registry; and</p>	<p>The proposed alterations:</p> <p><i>Distributors must advise the registry of any change to any existing loss factor on the registry, by populating and forward-dating the event in the registry at least two calendar months before the change is to take effect. Any such change to any existing loss factor will not take effect until at least 2 months from the date on which the registry receives such</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>(b) the rule does not apply to any distributor which is introducing a new ICP to any particular network.</p> <p>The objective of this proposed amendment is to ensure that the market administrator has sufficient lead in time to ensure that it has the correct, up-to-date, loss factors. It is proposed that the rule will not apply to a distributor which is introducing a new ICP to any particular network.</p>	<p><i>advice. For clarity, this rule does not apply to any distributor which is creating a new NSP or introducing a new ICP to any particular network.</i></p>
21.	Rule 6 of schedule E1	<p>Proposed clarificatory amendment. It is proposed that rule 6 of schedule E1 be amended by clarifying how distributors must notify the reconciliation manager and by providing that the rule does not apply to any distributor which is introducing a new ICP to any particular network.</p> <p>The objective of this proposed amendment is to clarify how distributors must notify the reconciliation manager and that the rule will not apply to particular distributors as a result of the proposed amendments to rules 5.3 and 5.4 of schedule E1.</p>	<p>The insertion of the words “, by populating and forward-dating the event in the registry. For clarity, this rule does not apply to any distributor which is creating a new NSP introducing a new ICP to any particular network.” after the words “them to ICPs.”.</p>
22.	New rule 8.1A of schedule E1	<p>Proposed introduction of a new obligation. It is proposed that a new rule 8.1A of schedule E1 be inserted. This contains a new obligation on various participants to notify the reconciliation manager of the creation, or introduction to another network, of an NSP.</p> <p>The objective of this proposed amendment is to introduce an obligation on specific participants to notify the reconciliation manager of the creation, or introduction to another network, of an NSP. This is to ensure that the reconciliation manager has all information to correctly carry out the reconciliation process.</p>	<p>The insertion of:</p> <p>8.1A Obligation to notify reconciliation manager of creation of NSP</p> <p><i>If at any time, an NSP is to be created, or introduced to another network, then in each of the following cases the relevant participant must notify the reconciliation manager that the relevant NSP is to be created, or introduced, at least 5 business days before the NSP is livened:</i></p> <p>8.1A.1 <i>If the NSP is a point of connection between the grid and a local network, the grid owner;</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p>8.1A.2 If the NSP is an interconnection point between two local networks, then the local network owner which initiated that NSP;</p> <p>8.1A.3 If the NSP is an interconnection point between two embedded networks, then the embedded network owner which initiated that NSP; and</p> <p>8.1A.4 If the NSP is a point of connection between a generator and the grid, then the grid owner.</p>
23.	Rule 8.1 of schedule E1	<p>Proposed clarificatory amendment. It is proposed that rule 8.1 of schedule E1 be amended so that it refers to the participant identifier being assigned by the Board.</p> <p>The objective of this proposed amendment is to clarify, explicitly, that the Board is to assign the participant identifier.</p>	<p>The proposed alterations:</p> <p>nnnn is a unique code assigned to theparticipant identifier for the participant that <u>currently</u> owns the network being supplied at the time the request is made.</p>
24.	Rule 1.4.2 of schedule E2	<p>Proposed introduction of a new rule to clarify an existing obligation. It is therefore proposed that rule 1.4.2.1 and 1.4.2.2 of schedule E2, and the introductory wording associated with those Rules, be replaced.</p> <p>The objective of this proposed amendment is to clarify the time limit within which the old retailer must make a decision, and respond in relation to, the changed switch meter reading and to better structure the rule.</p>	<p>The proposed alterations:</p> <p>1.4.2 Reading differs by 200kWh or more</p> <p>If the validated meter reading or permanent estimate provided by the old retailer differs by 200 kWh or more from a value established by the new retailer, the new retailer may dispute the switch meter reading. In this case, the new retailer must, within four calendar months of the actual event date, provide to the old retailer a changed switch meter reading supported by two validated meter readings and:-</p> <p>The old retailer must either:</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p data-bbox="1406 316 2056 405">1.4.2.1 Use the switch meter reading from new retailer<u>Old retailer to respond to changed reading</u></p> <p data-bbox="1507 448 2056 751"><u>Within five business days after receiving the changed switch meter reading from the new retailer, the old retailer, if it does not accept the changed meter reading, must notify the new retailer (giving all relevant details) and the old retailer and the new retailer must use their reasonable endeavours to resolve the dispute in accordance with the disputes procedure contained in rule 12 of part J (with all necessary amendments); and</u></p> <p data-bbox="1507 820 2056 874"><u>Use the switch meter reading supplied by the new retailer; or</u></p> <p data-bbox="1406 930 2056 1019">1.4.2.2 Resolve using disputes procedure<u>If old retailer notifies acceptance of changed reading, or does not respond</u></p> <p data-bbox="1507 1062 2056 1246"><u>If the old retailer notifies its acceptance of the changed switch meter reading received from the new retailer, or does not provide any response, the old retailer must use the changed switch meter reading supplied by the new retailer in accordance with this rule.</u></p> <p data-bbox="1507 1315 2056 1401"><u>Resolve the disagreement in accordance with the disputes procedure contained in rule 12 of part J.</u></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
25.	Rule 1.5 of schedule E2	<p>Proposed clarificatory amendment. It is proposed that rule 1.5 of schedule E2 be amended so as to make reference to rule 12 of part J “(with all necessary amendments)”.</p> <p>The objective of this proposed amendment is to ensure consistency within the Rules.</p>	The insertion of the words “(with all necessary amendments)” after the words “part J”.
26.	Rule 2.4.2 of schedule E2	<p>Proposed introduction of a new rule to clarify an existing obligation. It is therefore proposed that rule 2.4.2.1 and 2.4.2.2 of schedule E2, and the introductory wording associated with those Rules, be replaced.</p> <p>The objective of this proposed amendment is to clarify the time limit within which the old retailer must make a decision, and respond in relation to, the changed switch meter reading and to better structure the rule.</p>	<p>The proposed alterations:</p> <p>2.4.2 Reading differs by 200kWh or more</p> <p><i>If the validated meter reading or permanent estimate provided by the old retailer differs by 200 kWh or more from a value established by the new retailer, the new retailer may dispute the switch meter reading. In this case, the new retailer must, within four <u>calendar months</u> of the actual event date, provide to the old retailer a changed switch meter reading supported by two validated meter readings and the old retailer must either:</i></p> <p>2.4.2.1 Use the switch meter reading from new retailerOld retailer to respond to changed reading</p> <p><i><u>Within 5 business days after receiving the changed switch meter reading from the new retailer, the old retailer, if it does not accept the changed meter reading, must notify the new retailer (giving all relevant details) and the old retailer and the new retailer must use their reasonable endeavours to resolve the dispute in accordance with the disputes procedure contained in rule 12 of part J (with all necessary amendments); and</u></i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p>Use the switch meter reading supplied by the new retailer; or</p> <p>2.4.2.2 <u>Resolve using disputes procedure If old retailer notifies acceptance of changed reading, or does not respond</u></p> <p><u>If the old retailer notifies its acceptance of the changed switch meter reading received from the new retailer, or does not provide any response, the old retailer must use the changed switch meter reading supplied by the new retailer in accordance with this rule.</u></p> <p>Resolve the disagreement in accordance with the disputes procedure contained in rule 12 of part J.</p>
27.	Rule 4.5 of schedule E2	<p>Proposed corrective amendment. It is proposed that rule 4.5 of schedule E2 be amended by the correction of the cross-references.</p> <p>The objective of this proposed amendment is to correct a previously included mistaken cross-reference in the Rules.</p>	The deletion of the words "1.2.1 or 2.2.1" which will be replaced with the words "1.2, 1.3, 2.2 or 2.3".
28.	Part E	Several corrective, formatting, consistency-related and cosmetic amendments, which in no way impact on the meaning or effect of the Rules, set out in the section entitled "Part E" in the Attachment.	For details refer to the section entitled "Part E" in the Attachment.

PART G

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
1.	Section V of part G	Several consistency-related amendments, which in no way impact on the meaning or effect of the rules, set out in the section entitled " <i>Part G</i> " in the Attachment.	For details refer to the section entitled " <i>Part G</i> " in the Attachment.

PART H

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
1.	Rule 6.2 of part H	<p>Proposed consequential amendment. It is proposed that rule 6.2 of part H be amended by excluding embedded generators to which rule 3.2 of part J applies and referring to embedded networks.</p> <p>The objective of this proposed change is to:</p> <p>(a) clarify that, in relation to all local networks and embedded networks, the point of connection will, for the purposes of the Rules, be deemed to be a grid injection point; and</p> <p>(b) achieve consistency within the Rules.</p> <p>It has been proposed in conjunction with the proposed amendments to the definitions “grid exit point” and “grid injection point”.</p>	<p>The proposed alterations:</p> <p><i>Sale by generators with a point of connection to a local network <u>or embedded network</u></i></p> <p><i>Each generator that has an embedded generating station (other than those embedded generators to which rule 3.2 of part J applies) must sell, to either the clearing manager or a retailer trading on the local network <u>or embedded network</u> to which the embedded generating station is connected, the electricity generated by that embedded generating station and injected during a trading period through a point of connection with the local network <u>or embedded network</u> and reconciled in accordance with the rules. The clearing manager or retailer must purchase that electricity as set out in the rules. <u>The point of connection with the grid will, for the purposes of the rules, be deemed to be a grid injection point.</u></i></p>

PART J

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
1.	Rule 1 of part J	<p>Proposed clarificatory amendment. It is proposed that the heading of rule 1 of part J be amended to read "Preliminary".</p> <p>The objective of this proposed change is that the heading more accurately reflects the content of the rule.</p>	The deletion of the words " Contents of Part J " which will be replaced with the word " Preliminary ".
2.	Rule 1.1 of part J	<p>Proposed clarificatory amendment. It is proposed that rule 1.1 of part J be split into distinct sub-paragraphs, and two final, new, sub-paragraphs added.</p> <p>The objectives of this proposed amendment are to:</p> <ul style="list-style-type: none"> (a) aid in interpretation; (b) ensure a link between the reconciliation process and part H; and (c) state that part J describes the obligation of the reconciliation manager to pass information to the reconciliation participants, the registry and the Board. 	<p>The proposed alterations:</p> <p>1.1 Overview</p> <p><i>This part J (including the schedules and any appendices) describes:</i></p> <p><u>1.1.1</u> <i>how reconciliation participants must gather, store and provide information about electricity conveyed;</i></p> <p><u>1.1.2</u> <i>how reconciliation participants must prepare and provide submission information; and</i></p> <p><u>1.1.3</u> <i>how the reconciliation manager must calculate responsibility for that electricity among reconciliation participants;</i></p> <p><u>1.1.4</u> <i>how the reconciliation manager must pass information to the clearing manager, for the calculation of invoices; and</i></p> <p><u>1.1.5</u> <i>the obligations of the reconciliation manager to pass information to reconciliation participants, the registry and the Board.</i></p>
3.	Rule 1.3 of part J	Proposed cosmetic amendment. It is the proposed moving of the obligation already	The insertion of:

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>provided for in rule 3.1 of schedule J1 although with a reduced notice period.</p> <p>The objective of this proposed amendment is to ensure that it is stated, up front, that the Commission must be given at least five business days' notice before a person becomes a reconciliation participant.</p>	<p>1.3 New reconciliation participants</p> <p><i>Any new reconciliation participant intending to become a reconciliation participant must provide not less than 5 business days' written notice to the Board of its intention to do so, stating the date on which it intends to become a reconciliation participant and activities to be carried out.</i></p>
4.	Rule 2.3 of part J	<p>Proposed corrective amendment. It is proposed that rule 2.3 of part J be amended by the deletion of the word "publish".</p> <p>The objective of this proposed amendment is to clarify in the rule that the participants are not obligated under the Rules to publish (in the manner defined in the Rules) the relevant information.</p>	<p>The deletion of the word "publish" which will be replaced with the word "<i>publish</i>".</p>
5.	Rule 3 of part J	<p>Proposed restriction of the application of an existing obligation. It is proposed that rule 3 of part J be stated to be subject to a carve-out (comprising certain embedded generators). It is proposed to introduce a new rule 3.2, which specifies the constraints and conditions of the dis-application of the general rule in rule 3.1. The rule is also altered to apply to points of connection.</p> <p>The objective of this proposed amendment is to dis-apply rule 3 to certain embedded generators who want to "gift" electricity to the grid.</p>	<p>The proposed alterations:</p> <p>3. Provision of trading information at a point of connection to the grid a network</p> <p><u>3.1</u> Subject only to rule 3.2, Each each retailer, direct purchaser or generator who purchases or sells electricity at a point of connection to the grid a network must notify the reconciliation manager, in accordance with any procedures and any other requirements reasonably specified by the reconciliation manager from time to time, of changes to the points of connection at which that reconciliation participant commences or ceases to trade electricity at least five 5 business days prior to the change occurring. The reconciliation manager must, for all points of connection to a network, give a copy of any notice received by it in accordance with this rule 3 to the clearing manager and system operator within 1</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p><i>business day</i> of receiving it.</p> <p><u>3.2</u> <i>Despite anything else in the rules to the contrary, any embedded generator who complies with the following requirements, does not need to comply with rule 3.1:</i></p> <p><u>3.2.1</u> <i>that embedded generator notifies the reconciliation manager, in writing, that for any particular point of connection, it does not wish to comply with the requirements of rule 3.1 (giving all relevant details);</i></p> <p><u>3.2.2</u> <i>that embedded generator believes, acting reasonably, that the quantity of electricity it will generate, in any year, will either:</i></p> <p><u>3.2.2.1</u> <i>be less than 2000 kW/Hr during that year; or</i></p> <p><u>3.2.2.2</u> <i>be used only in connection with and as a result of grid emergencies, or for the purposes of the maintenance or repair of its assets.</i></p> <p><u>The embedded generator must include details of the reasons for its belief in its notification, and the relevant ICP number, to the reconciliation manager; and</u></p> <p><u>3.2.3</u> <i>that embedded generator notifies in writing to</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p><u>the reconciliation manager that it will not receive any payment from the clearing manager, or any other person, for any and all electricity generated by it and flowing over that point of connection (irrespective of whether or not the actual quantity of electricity generated by it, during the relevant year, may be equal to or exceed 2,000 kW/Hr for that year).</u></p> <p><u>This rule 3.2 in no way releases or excuses, in whole or in part, any person from any responsibilities and obligations it may have, from time to time and at any time, under the Estate and Gift Duties Act 1968.</u></p>
6.	Rule 4.3.2 of part J	<p>Proposed expansion of the application of an existing obligation. It is proposed that rule 4.3.2 of part J be amended so as to increase the number and types of participants to whom the rule applies.</p> <p>The objective of this proposed amendment is to widen the application of the rule so as to include:</p> <p>(a) local network owners; and</p> <p>(b) each person who initiates an interconnection point (and their agents).</p>	<p>The proposed alterations:</p> <p>4.3.2 <u>Local network and Eembedded network submission information</u></p> <p><u>Each local network owner and embedded network owner (or its agent as determined in accordance with rule 9 of part E), and each person who, from time to time, initiates an interconnection point (or in each case, the relevant person's agent as determined in accordance with rule 9 of part E), must deliver, for each NSP for which it owns the corresponding network, or initiates (as the case may be), to the reconciliation manager for each NSP for which it is the embedded network owner:</u></p> <p>4.3.2.1 <u>submission information</u> for the immediately preceding consumption period, by 1600 hours on the 4th business day of each reconciliation period; and</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p>4.3.2.2 revised submission information provided in accordance with rule 4.1.2, by 1600 hours on the 13th business day of each reconciliation period.</p>
7.	Rule 4.3.2.3 of part J	<p>Proposed corrective amendment. It is proposed that rule 4.3.2.3 of part J be deleted.</p> <p>The objective of this proposed amendment is to delete an earlier inadvertently duplicated rule (as it already appears in rule 4.3.2.2).</p>	The deletion of rule 4.3.2.3 of part J.
8.	Rule 6.2 of part J	<p>Proposed alteration of an existing obligation. It is proposed that rule 6.2 of part J be amended by the inclusion of a time frame for compliance.</p> <p>The objective of this proposed amendment is to ensure the enforceability of the rule.</p>	The insertion of the words “, within 10 business days of the date on which it determines the information notified in accordance with rule 7 of schedule E1 is not compliant with that rule,” after the word “must” in the fourth line.
9.	Rule 6.3.4 of part J	<p>Proposed expansion of an existing obligation. It is proposed that rule 6.3.4 of part J be amended by including a time frame for compliance.</p> <p>The objective of this proposed amendment is to ensure the enforceability of the rule.</p>	The deletion of the word “then” in the seventh line which will be replaced with the words “ within 10 business days of the date on which it determines the information notified in accordance with rule 6.2 is not compliant”.
10.	Rule 8.2 of part J	<p>Proposed consequential amendment. It is proposed that rule 8.2 of part J be amended by inserting the words “and if necessary changed”.</p> <p>The objective of this proposed amendment is to clarify that profiles must also be changed in accordance with schedule J5.</p>	The insertion of the words “, and if necessary changed,” after the word “established”.
11.	Rule 8.3.1 of part J	Proposed corrective amendment. It is proposed that rule 8.3.1 of part J be amended by the deletion of the words “publish” and “ publish ”.	<p>The deletion of the word “published” after the words “using the”.</p> <p>The deletion of the word “published” which will be replaced with the</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>The objective of this proposed amendment is to:</p> <p>(a) clarify in the rule that the reconciliation manager is not obligated under the Rules to use the published (in the manner defined in the Rules) profile shapes; and</p> <p>(b) correct a previously incorrect inclusion of the word “publish”.</p>	word “published”.
12.	Rule 10.1 of part J	<p>Proposed clarificatory amendment. It is proposed that rule 10.1 of part J be amended by referring to “each” reconciliation participants.</p> <p>The objective of this proposed amendment is to promote clarity within the Rules and to aid their interpretation.</p>	The insertion of the word “each” after the word “to”.
13.	Rule 10.4 of part J	<p>Proposed expansion of an existing obligation. It is proposed that rule 10.4 of part J be amended by including a time frame for compliance.</p> <p>The objective of this proposed amendment is to include a timing requirement for reconciliation participants to dispute or query with the reconciliation manager the reconciliation information they receive from the reconciliation manager referred to in rule 10.</p>	The insertion of the words “, by no later than the date falling 20 business days after the date on which the reconciliation participant receives the relevant information from the reconciliation manager ,” after the word “must” in the third line.
14.	Rule 10.5 of part J	<p>Proposed clarificatory amendment. It is proposed that rule 10.5 of part J be amended by the inclusion of a mechanism for the reconciliation manager to estimate missing information for purchasers and generators.</p> <p>The objective of this proposed amendment is to provide transparency on how this missing information is to be estimated.</p>	<p>The proposed alterations:</p> <p>10.5 Reconciliation manager must assess information not supplied</p> <p><i>If any reconciliation participant fails to provide any information in accordance with rules 2 to 7, the reconciliation manager must take all reasonable steps necessary to acquire or estimate the information, <u>and in the</u></i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p>case of missing trader data; and in the case of missing retailer data estimate, by applying the ICP days scaling factor in accordance with schedule J4.</p> <p><u>10.5.1 a purchaser's volume information must be estimated by applying the ICP day scaling factor in accordance with schedule J4; and</u></p> <p><u>10.5.2 a generator's volume information must be estimated by the reconciliation manager by using an estimated reading.</u></p>
15.	Rule 11.1 of part J	<p>Proposed clarificatory amendment. It is proposed that rule 11.1 of part J be amended by referring to "NSP information".</p> <p>The objective of this proposed amendment is to clarify that the Rules relates to NSP information.</p>	The insertion of the word "information" after the word "NSP" in each place it occurs.
16.	Rule 11.3.2 of part J	<p>Proposed change to an existing obligation. It is proposed that rule 11.3.2 of part J be amended by requiring the request to be made by no later than the earlier of:</p> <p>(a) the completion of the relevant 24 month revision period; and</p> <p>(b) 31 October 2008.</p> <p>The objective of this proposed amendment is to aid interpretation and ease of understanding.</p>	<p>The deletion of the words "six calendar months after the date this part J became effective" which will be replaced with the words:</p> <p><i>the earlier of:</i></p> <p>11.3.2.1 <i>the completion of the 24 month revision period for the month being requested; and</i></p> <p>11.3.2.2 <i>31 October 2008; and</i></p>
17.	Rule 11.4.1.1 of part J	Proposed cosmetic amendment. It is proposed that rule 11.4.1.1 of part J be amended by reference to the actual date on which the reconciliation rules became effective.	The deletion of the words "the date on which this part J became effective" which will be replaced with the words "1May 2008".

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		The objective of this proposed amendment is to aid interpretation and ease of understanding.	
18.	Rule 11.4.2.1 of part J	<p>Proposed cosmetic amendment. It is proposed that rule 11.4.2.1 of part J be amended by including reference to the actual date on which the reconciliation rules became effective.</p> <p>The objective of this proposed amendment is to aid interpretation and ease of understanding.</p>	The deletion of the words <i>“the date on which this part J became effective”</i> which will be replaced with the words <i>“1May 2008”</i> .
19.	Rule 11.4.2.3 of part J	<p>Proposed cosmetic amendment. It is proposed that rule 11.4.2.3 of part J be amended by including reference to the actual date on which the reconciliation rules became effective.</p> <p>The objective of this proposed amendment is to aid interpretation and ease of understanding.</p>	The deletion of the words <i>“the date on which this part J became effective”</i> which will be replaced with the words <i>“1May 2008”</i> .
20.	Rule 11.4.3.1 of part J	<p>Proposed cosmetic amendment. It is proposed that rule 11.4.3.1 of part J be amended by including reference to the actual first business day after the reconciliation rules became effective.</p> <p>The objective of this proposed amendment is to aid interpretation and ease of understanding.</p>	The deletion of the words <i>“the 1st business day that this part J became effective”</i> which will be replaced with the words <i>“1May 2008”</i> .
21.	Rule 11.4.3.2 of part J	<p>Proposed cosmetic amendment. It is proposed that rule 11.4.3.2 of part J be amended by including reference to the actual date on which the reconciliation rules became effective.</p> <p>The objective of this proposed amendment is to aid interpretation and ease of understanding.</p>	The deletion of the words <i>“this part J became effective”</i> which will be replaced with the words <i>“1May 2008”</i> .
22.	Rule 11.4.4.2 of part J	Proposed clarificatory amendment. It is proposed that rule 11.4.4.2 of part J be amended	The deletion of the words <i>“all retailers”</i> which will be replaced with the words <i>“all reconciliation participants”</i> .

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>by referring to <i>“all reconciliation participants”</i> instead of to <i>“all retailers”</i>.</p> <p>The objective of this proposed amendment is to ensure that all relevant data must be submitted by all reconciliation participants to the reconciliation manager.</p>	
23.	Rule 11.4.4.3 of part J	<p>Proposed cosmetic amendment. It is proposed that rule 11.4.4.3 of part J be amended by including reference to the actual date on which the reconciliation rules became effective.</p> <p>The objective of this proposed amendment is to aid interpretation and ease of understanding.</p>	The deletion of the words <i>“the date on which this part J became effective”</i> which will be replaced with the words <i>“1May 2008”</i> .
24.	Rule 11.4.4.4 of part J	<p>Proposed cosmetic amendment. It is proposed that rule 11.4.4.4 of part J be amended by including reference to the actual date on which the reconciliation rules became effective.</p> <p>The objective of this proposed amendment is to aid interpretation and ease of understanding.</p>	The deletion of the words <i>“the date on which this part J became effective”</i> which will be replaced with the words <i>“1May 2008”</i> .
25.	Rule 11.4.6 of part J	<p>Proposed corrective amendment. It is proposed that rule 11.4.6 of part J be amended by the deletion of the word <i>“must”</i>.</p> <p>The objective of this proposed amendment is to correct a typographical mistake in the Rules.</p>	The deletion of the word <i>“must”</i> in line one.
26.	Rule 11.4.6.1 of part J	<p>Proposed cosmetic amendment. It is proposed that rule 11.4.6.1 of part J be amended by including reference to the actual date on which the reconciliation rules became effective.</p> <p>The objective of this proposed amendment is to aid interpretation and ease of understanding.</p>	The deletion of the words <i>“the date on which this part J became effective”</i> which will be replaced with the words <i>“1May 2008”</i> .

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
27.	Rule 11.4.6.2 of part J	<p>Proposed cosmetic amendment. It is proposed that rule 11.4.6.2 of part J be amended by including reference to the actual date on which the reconciliation rules became effective.</p> <p>The objective of this proposed amendment is to aid interpretation and ease of understanding.</p>	The deletion of the words <i>“the date on which this part J became effective”</i> which will be replaced with the words <i>“1May 2008”</i> .
28.	Rule 11.4.6.2 of part J	<p>Proposed corrective amendment. It is proposed that rule 11.4.6.2 of part J be amended by the deletion of the words “publish profiles and”.</p> <p>The objective of this proposed amendment is to clarify in the rule that the reconciliation manager is not obligated under the Rules to publish (in the manner defined in the Rules) the profiles.</p>	The deletion of the words “publish profiles and” which will be replaced with the word <i>“publish”</i> .
29.	Rule 11.4.6.3 of part J	<p>Proposed consequential amendment. It is proposed that rule 11.4.6.3 of part J be amended to refer only to seasonal adjustment shapes.</p> <p>The objective of this proposed amendment is to ensure consistency with rule 11.4.6.2 (as amended).</p>	The deletion of the word “published profiles” which will be replaced with the words “published seasonal adjustment shapes” .
30.	Rule 11.4.7.1 of part J	<p>Proposed cosmetic amendment. It is proposed that rule 11.4.7.1 of part J be amended by including reference to the actual date on which the reconciliation rules became effective.</p> <p>The objective of this proposed amendment is to aid interpretation and ease of understanding.</p>	The deletion of the words <i>“the date on which this part J became effective”</i> which will be replaced with the words <i>“1May 2008”</i> .
31.	Rule 13.1 of part J	<p>Proposed clarificatory amendment. It is proposed that rule 13.1 of part J be amended by referring to breaches as <i>“alleged”</i>.</p> <p>The objective of this proposed amendment is to promote consistency within the Rules, and also</p>	<p>The insertion of the word “Alleged” in the heading before the words “Rule breaches reported by the reconciliation manager” and the word <i>“alleged”</i> before the word <i>“breach”</i> in the sixth line.</p> <p>The insertion of the word <i>“allegedly”</i> before the word <i>“breached”</i> in the tenth and twelfth lines.</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		to ensure consistency between the language used in the Rules and in the Regulations.	
32.	Rule 13.1 of part J	<p>Proposed clarificatory amendment. It is proposed that rule 13.1 of part J be amended by the inclusion of the introductory wording “As soon as possible, but in any event by, at the latest,”.</p> <p>The objective of this proposed amendment is to promote consistency between the Rules and the Regulations, in particular regulation 62.</p>	The deletion of the word “By” which will be replaced with the words “As soon as possible, but in any event by, at the latest,” before the words “1300 hours”.
33.	Rule 13.1.1 of part J	<p>Proposed clarificatory amendment. It is proposed that rule 13.1.1 of part J be amended by referring to breaches as “alleged”.</p> <p>The objective of this proposed amendment is to promote consistency within the Rules, and also to ensure consistency between the language used in the Rules and in the Regulations.</p>	The insertion of the word “ alleged ” in the heading before the word “ breach ”.
34.	Rule 13.1.2 of part J	<p>Proposed clarificatory amendment. It is proposed that rule 13.1.2 of part J be amended by referring to breaches as “alleged”.</p> <p>The objective of this proposed amendment is to promote consistency within the Rules, and also to ensure consistency between the language used in the Rules and in the Regulations.</p>	The insertion of the word “ alleged ” in the heading before the word “ breach ”.
35.	Rule 13.1.3 of part J	<p>Proposed clarificatory amendment. It is proposed that rule 13.1.3 of part J be amended by referring to breaches as “alleged”.</p> <p>The objective of this proposed amendment is to promote consistency within the Rules, and also to ensure consistency between the language used in the Rules and in the Regulations.</p>	The insertion of the word “ alleged ” in the heading before the word “ breach ”.

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
36.	Rule 13.2 of part J	<p>Proposed alteration of an existing obligation. It is proposed that rule 13.2 of part J be amended by the introduction of a timing requirement for compliance.</p> <p>The objective of this proposed amendment is to introduce a timing requirement for the reconciliation manager to provide the reconciliation participant with the further requested information related to any situation set out in the reconciliation manager's report published in accordance with rule 13.1 which has materially affected that reconciliation participant.</p>	The insertion of the words “, <i>within 10 business days of the day on which it receives such request,</i> ” after the words “ reconciliation manager must ”.
37.	Rule 14 of part J	<p>Proposed clarificatory amendment. It is proposed that rule 14 of part J be amended by deleting the reference to “publish on its website”.</p> <p>The objective of this proposed amendment is to clarify that the Board is not obligated under the Rules to publish (in the manner defined in the Rules) the reports.</p>	The deletion of the words “ publish on its website ” which will be replaced with the word “ <i>publish</i> ”.
38.	Rule 16 of part J	<p>Proposed cosmetic amendment. It is proposed that rule 16 of part J be amended by deleting the words to “<i>the rule of</i>”.</p> <p>The objective of this proposed amendment is to achieve consistency within the Rules.</p>	The deletion of the words “ <i>the rules of</i> ”.
39.	Rule 17 of part J	<p>Proposed cosmetic, corrective amendment. It is proposed that rule 17 of part J be amended by replacing the word “<i>formats</i>” with the word “<i>techniques</i>”.</p> <p>The objective of this proposed amendment is to ensure that the heading to the rule reflects the</p>	The deletion of the word “ formats ” which will be replaced with the word “ techniques ”.

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		main body.	
40.	Rule 17.1 of part J	<p>Proposed clarificatory amendment. It is proposed that rule 17.1 of part J be amended by the deletion of the cross-reference to rule 16.</p> <p>The objective of this proposed amendment is to eliminate an incorrect cross-reference.</p>	The deletion of the words <i>"in accordance with rule 16"</i> .
41.	Rule 17.4 of part J	<p>Proposed corrective amendment. It is proposed that rule 17.4 of part J be amended by including in the heading the word <i>"coding"</i>.</p> <p>The objective of this proposed amendment is to ensure that the heading to the rule reflects the main body.</p>	The insertion of the word <i>"coding"</i> in the heading after the words <i>"New Zealand standard time"</i> .
42.	Rule 18.1 of part J	<p>Proposed consequential amendment. It is proposed that rule 18.1 of part J be amended by referring to the proposed new rule 5.1A of schedule J1.</p> <p>The objective of this proposed amendment is to ensure there is a clear link between the rule and the proposed new auditor approval regime in rule 5.1A of schedule J1, to make the Rules easier to follow.</p>	The insertion of the words <i>"in accordance with rule 5.1A of schedule J1"</i> after the words <i>"from time to time"</i> .
43.	Rule 18 of part J	<p>Proposed consequential amendment. It is proposed that rule 18 of part J be amended by referring to rule 8 of schedule J1, which contains proposed new Rules dealing with Board-required audits.</p> <p>The objective of this proposed amendment is to provide a link between part J and the more detailed Rules concerning Board-required audits to make the Rules easier to follow.</p>	<p>The insertion of:</p> <p>18.2 Board may require audit of participants</p> <p><i>The Board may require any participant to have an audit undertaken. If the Board so requires such an audit, rule 8 of schedule J1 shall apply to:</i></p> <p>18.2.1 <i>the carrying out of;</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p>18.2.2 <i>the consequences of; and</i></p> <p>18.2.3 <i>the responsibility of costs for,</i></p> <p><i>such an audit.</i></p>
44.	Rule 19 of part J	<p>Proposed clarificatory amendment. It is proposed that rule 19 of part J be amended.</p> <p>The objective of this proposed amendment is to clarify:</p> <ul style="list-style-type: none"> (a) an exhaustive list of functions, the carrying out of which requires certification; (b) that they may obtain certification for one or more of the categories of the activities listed; (c) the rule should not apply to embedded generators selling directly to another reconciliation participant; and (d) that the Rules acknowledge that some reconciliation participants can carry out functions by way of, and in reliance on, the certification of, agents. 	<p>The proposed alterations:</p> <p>19. <u>Tasks-Functions requiring certification</u></p> <p><u>Reconciliation participants (except embedded generators selling directly to another reconciliation participant) must obtain and maintain certification in accordance with schedule J1 in order to be permitted to perform, or to have performed by way of an agent or agents, any one or more of the following functions in compliance with the rules: certain tasks which include, but are not limited to, the following:</u></p> <p>19.1 <i>maintaining registry information and performing customer and embedded generator switching (except where that function is carried out in accordance with rule 10 of part E);</i></p> <p>19.2 <i>gathering and storing raw meter data;</i></p> <p>19.3 <i>creation and management (including validating, estimating, storing, correcting and archiving) of volume information;</i></p> <p>19.4 <i>calculation of ICP days and electricity supplied;</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p>19.5 provision of submission information for reconciliation; and</p> <p>19.6 provision of metering information to the pricing manager in accordance with section V of part G.</p> <p>For clarity, the performance of any of the above tasks functions by any reconciliation participant, or its agent or agents, without the relevant reconciliation participant having current certification, will constitute a breach of the rules by that reconciliation participant.</p>
45.	New rule 20 of part J	<p>Proposed new rule. It is proposed that a new rule 20 of part J be inserted.</p> <p>The objective of this proposed amendment is to introduce a rule clarifying that the Board is to create, and issue, participant identifiers and these are to be used by reconciliation participants in the reconciliation process.</p>	<p>The insertion of:</p> <p>20 Reconciliation participants must use participant identifiers</p> <p>20.1 Every reconciliation participant must use its participant identifier, where required, to correctly identify that reconciliation participant's submission information.</p> <p>20.2 A reconciliation participant must apply to the Board in the prescribed form for a participant identifier at least 5 business days before the participant identifier is required.</p> <p>20.3 The Board may, from time to time and at any time, by notification to any reconciliation participant, change the participant identifier for that reconciliation participant. If the Board does this, the new participant identifier for that reconciliation participant will become effective as and with effect from the date</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<i>specified in the relevant notification.</i>
46.	Rule 1 of schedule J1	<p>Proposed clarificatory amendment. It is proposed that rule 1 of schedule J1 be amended by splitting the provision into sub-paragraphs.</p> <p>The objective of this proposed amendment is to clarify that the schedule applies to reconciliation participants and if appropriate, their agents.</p>	<p>The proposed alterations:</p> <p>1. Purpose of this schedule</p> <p><i>This schedule contains Rules setting out:</i></p> <p>1.1 <u>the processes by which audits must be undertaken by reconciliation participants and new reconciliation participants if appropriate, their agents;</u> and</p> <p>1.2 <u>the requirement for reconciliation participants to be certified to perform, or to have performed, in their entirety, by way of an agent or agents, certain tasksfunctions, and the process for obtaining and renewing that certification.</u></p>
47.	Rule 2 of schedule J1	<p>Proposed consequential amendment. It is proposed that rule 2 of schedule J1 be deleted because of amendments proposed to be made to rule 19 of part J.</p> <p>The objective of this proposed amendment is to promote consistency within the Rules.</p>	The deletion of rule 2 of schedule J1.
48.	Rule 3.1 of schedule J1	<p>Proposed clarificatory amendment. It is proposed that rule 3.1 of schedule J1 be amended by:</p> <p>(a) the use of the proposed new defined term “<i>applicant</i>”;</p> <p>(b) the consistent use of the expression “<i>other relevant items</i>”;</p> <p>(c) clarification that the audit can extend to the facilities, processes and procedures, and</p>	<p>The proposed alterations:</p> <p>3.1 Application for initial certification</p> <p>3.1.1 <u>AAn reconciliation participantapplicant requiring certification of its facilities, processes and procedures, and other relevant items, to gather, process or manage information (including, if relevant, the facilities, processes and procedures, and other relevant items, of its agent or agents) for the first time (in this schedule, the “<i>applicant</i>”) must apply in writing</u></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>other relevant items, of agents;</p> <p>(d) requiring that two months' advance notice is given to the Board of the intention to become certified;</p> <p>(e) the clarificatory amendment that further information when requested, must be provided, "promptly";</p> <p>(f) the consistent use of the word "functions" instead of "tasks"; and</p> <p>(g) a recognition that if facilities, processes and procedures, and other relevant items, change when certified, then a further application for certification of the changes must be made.</p> <p>The objective of these proposed amendments is to align the Rules with the intended certification arrangements.</p>	<p>to the Board in the form specified from time to time by the Board, <u>giving the Board not less than 2 months' notice in advance of the date by which it intends that it is to become certified.</u></p> <p>3.1.2 <u>The applicant must also provide, promptly, such other information as the Board may reasonably request.</u></p> <p>3.1.3 <u>The applicant must indicate to the Board the information gathering, processing and management tasks <u>functions</u> it intends to perform and who it intends to use to perform the tasks <u>those functions</u>.</u></p> <p>3.1.4 <u>An applicant requiring certification of any changes to its facilities, processes and procedures, and other relevant items, to gather, process or manage information (including, if relevant, the facilities, processes and procedures, and other relevant items, of its agent or agents) which are at any certified, must apply to the Board in the form specified from time to time by the Board, not less than 2 months in advance of the date on which it intends the relevant change or changes are to be certified. A person intending to become a reconciliation participant must provide not less than two calendar months' written notice to the Board of its intention to do so.</u></p>
49.	Rule 3.2 of schedule J1	<p>Proposed clarificatory amendments. It is proposed that rule 3.2 of schedule J1 be amended by:</p> <p>(a) distinguishing between applicants, and where an applicant proposes to use an agent, for the purposes of seeking certification;</p> <p>(b) using the non-defined term "publish"; and</p>	<p>The proposed alterations:</p> <p>3.2 Requirements for certification</p> <p>3.2.1 <u>The Board must grant certification to an applicant applicant providing that the applicant applicant can demonstrate to the satisfaction of the Board by way of a rules audit that:</u></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>(c) clarifying that any change in facilities, processes or procedures, or other relevant items, will result in a loss of certification.</p> <p>The objective of this proposed amendment is to clarify that :</p> <p>(i) where an agent is used, it is the agents who are required to meet the requirements of the Rules relevant to the functions for which the applicant is seeking certification; and</p> <p>(ii) any unsanctioned change in facilities, processes or procedures, or other relevant items, will result in a loss of certification.</p>	<p><u>3.2.1.1</u> the applicantapplicant; or</p> <p><u>3.2.1.2</u> where an applicant proposes to use an agent or agents to perform, in their entirety, any particular functions for which the applicant is responsible under the rules, that agent or those agents,</p> <p>meets the requirements stated in the regulations and rules relevant to the tasks—functions for which the applicant <u>applicant</u> is seeking certification.</p> <p><u>3.2.2</u> The Board must—publish publish, and keep updated, a list of certified reconciliation participants and the period for which they are certified.</p> <p><u>3.2.3</u> <u>Certification for any reconciliation participant will cease, immediately, without any action needing to be taken or notice needing to be given by the Board or any other person, if that reconciliation participant, or any of its agents, changes, in any way, any of the facilities, processes and procedures, or any other items, which immediately prior to such change, had been certified.</u></p>
50.	Rule 3.3 of schedule J1	<p>Proposed cosmetic amendments. It is proposed that rule 3.3 of schedule J1 be amended by:</p> <p>(a) removing the embedded definition of the expression “<i>new reconciliation participant</i>” as a result of proposing that the embedded definition be shifted to part A; and</p> <p>(b) by including the reference to the actual</p>	<p>The proposed alterations:</p> <p>3.3 Initial certification</p> <p>3.3.1 New reconciliation participants</p> <p><i>Despite anything else in the Rules, a person or entity who is not a reconciliation participant within the</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>date on which the reconciliation Rules became effective.</p> <p>The objective of this proposed amendment is to aid interpretation and ease of understanding.</p>	<p>meaning of the rules at the date this part J of the rules became effective, (in this schedule the “new reconciliation participant”) but each new reconciliation participant which was not a reconciliation participant as at 1 May 2008 which is (otherwise than in accordance with this rule 3.3.1) nevertheless required in accordance with these rules to be certified, must obtain certification in accordance with this schedule J1 within three 3 calendar months of the date on which that new-new reconciliation participant becomes a reconciliation participant in accordance with the rules.</p> <p>3.3.2 Existing reconciliation participants</p> <p>Despite anything else in the rules, a person or entity who is which was a reconciliation participant within the meaning of the rules at the date this part J became effective as at 1 May 2008 and which is (otherwise than in accordance with this rule 3.3.2) required in accordance with these rules to be certified, must obtain certification in accordance with this schedule J1 by, at the latest, 31 October 2008. within six calendar months on which the date this part J became effective.</p>
51.	Rule 3.4.1 of schedule J1	<p>Proposed consequential amendment. It is proposed that rule 3.4.1 of schedule J1 be amended by cross-referring to rule 3.2.3.</p> <p>The objective of this proposed amendment is to achieve consistency within the Rules.</p>	<p>The insertion of the words “subject to earlier termination in accordance with rule 3.2.3” after the words “stated to commence”.</p>
52.	Rule 3.4.2 of schedule J1	<p>Proposed consequential amendment. It is proposed that rule 3.4.2 of schedule J1 be amended by making reference to the proposed new defined term “applicant” (as a result of the</p>	<p>The deletion of the word “<i>applicant</i>” which will be replaced with the word “applicant” in each place it occurs.</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>new definition of that term).</p> <p>The objective of this proposed amendment is to aid ease of interpretation of the Rules.</p>	
53.	Rule 4 schedule J1	<p>Proposed clarificatory amendment. It is proposed that rule 4 of schedule J1 be amended by referring to reconciliation participants as “agents” and by referring to “publish” instead of “publish”.</p> <p>The objective of this proposed amendment is to clarify that:</p> <p>(a) the rule refers to agents of reconciliation participants; and</p> <p>(b) the Board is not obligated under the Rules to publish (in the manner defined in the Rules) the reports.</p>	<p>The deletion of the word “publish” which will be replaced with the word “publish”.</p> <p>The insertion of the words “as agents” after the words “reconciliation participants”.</p>
54.	New rule 5.1A of schedule J1	<p>Proposed clarificatory amendment. It is proposed that a new rule 5.1A of schedule J1 be inserted which is intended to clarify that the Board is to approve auditors.</p> <p>The objective of this proposed amendment is to clarify the process for approving auditors, the time period of approval, the duration of approval, and other matters concerning the approval of auditors.</p>	<p>The insertion of:</p> <p>5.1A Board may approve auditors</p> <p><i>The Board may, from time to time, approve persons to act as, and to perform the functions of, auditors, for particular types of audits, in accordance with the rules. Any such approval may (but does not have to) be given by the Board, at its absolute discretion. If such approval is given, then unless it is withdrawn in accordance with rule 5.1, it will last for a period of two years from the date of such approval. All auditors must be approved, in accordance with this rule 5.1A, at the time they carry out any audit, and not have received notification from the Board of the withdrawal of such approval. When making an application to the Board for approval, or any renewal of an existing approval, as an auditor:</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p>5.1A.1 the person must use the prescribed form required from time to time by the Board;</p> <p>5.1A.2 the Board will have at least two calendar months from the date on which the completed application under this rule 5.1A is received by the Board, in which to assess, and if in the Board's view it is appropriate, to approve, the application;</p> <p>5.1A.3 any person applying under this rule 5.1A must respond to the Board, as quickly as practicable, providing any clarification, further data or information which the Board may have requested; and</p> <p>5.1A.4 the Board must publish, and keep updated, a list of auditors approved for particular types of audits.</p>
55.	Rule 5.1 of schedule J1	<p>Proposed clarificatory amendment. It is proposed that rule 5.1 of schedule J1 be amended by stating that termination will be effective immediately and that notice must be given in writing.</p> <p>The objective of this proposed amendment is to make clear the method and effect of the Board's termination, which will have effect immediately.</p>	<p>The deletion of the words “with immediate effect”.</p> <p>The insertion of the words “with immediate effect by giving written notice” after the words “at any time”.</p>
56.	Rule 5.2 of schedule J1	<p>Proposed clarificatory amendment. It is proposed that rule 5.2 of schedule J1 be amended by adding reference to the type of audit to be undertaken.</p> <p>The objective of this proposed amendment is to clarify that approvals will be given by the Board for auditors to undertake only particular types of audit.</p>	<p>The proposed alterations:</p> <p><i>The following reconciliation participants may choose auditors, that have been approved by the Board for the type of audit to be undertaken approved auditors to undertake an audit in accordance with the rules:</i></p>
57.	Rule 5.3 of schedule	Proposed consequential amendment. It is	The deletion of the word “applicant” which will be replaced with the

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
	J1	<p>proposed that rule 5.3 of schedule J1 be amended by making reference to the proposed new defined term “applicant”.</p> <p>The objective of this proposed amendment is to aid ease of interpretation of the Rules.</p>	word “applicant” .
58.	Rule 6.1 of schedule J1	<p>Proposed consequential amendment. It is proposed that rule 6.1 of schedule J1 be amended by making reference to the proposed new defined term “applicant”.</p> <p>The objective of this proposed amendment is to aid ease of interpretation of the Rules.</p>	The deletion of the word “applicant” which will be replaced with the word “applicant” .
59.	Rule 6.2 of schedule J1	<p>Proposed consequential amendment. It is proposed that rule 6.2 of schedule J1 be amended by making reference to the proposed new defined term “applicant” (as a result of the new definition of that term).</p> <p>The objective of this proposed amendment is to aid ease of interpretation of the Rules.</p>	The deletion of the word “applicant” which will be replaced with the word “applicant” .
60.	Rule 6.3 of schedule J1	<p>Proposed expansion of an existing obligation. It is proposed that rule 6.3 of schedule J1 be changed to clarify:</p> <p>(a) that the auditor must produce an audit report in accordance with the relevant Rules; and</p> <p>(b) it must be produced not less than two months in advance of the date on which certification is needed; and</p> <p>(c) the report must be produced to the applicant and the Board.</p> <p>The objective of this proposed amendment is to</p>	<p>The proposed alterations:</p> <p>6.3 Final audit report</p> <p><i>The auditor must produce, for any applicant, a final audit report in accordance with the rules relating to the relevant type of audit to be undertaken, a final audit report which is to be provided to both the Board and the applicant who is subject to the audit by no later than 2 months in advance of the date by which certification, audit or approval is required to have been effective in accordance with the rules, to both the Board and the applicant which is subject to the audit. The final audit report must include any conditions which the auditor has imposed on the applicant for that applicant applicant to satisfy in order to comply with the rules, and the responses or action that the applicant applicant has taken in respect to of</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		clarify the requirements relating to the final audit report.	<i>those conditions;</i>
61.	Rule 6.4 of schedule J1	Possible clarification of an existing obligation. It is proposed that rule 6.4 of schedule J1 be amended by stating that a final audit report must contain details of functions that those persons or entities perform. The objective of this proposed amendment is to clarify the content of the final audit report.	The insertion of the words “, with the details of the functions that those persons or entities perform” after the words “ reconciliation process ”.
62.	Rule 6.4 of schedule J1	Proposed consequential amendment. It is proposed that rule 6.4 of schedule J1 be amended by making reference to the proposed new defined term “ applicant ”. The objective of this proposed amendment is to aid ease of interpretation of the Rules.	The deletion of the word “ <i>applicant</i> ” which will be replaced with the word “ applicant ”.
63.	Rule 6.5 of schedule J1	Proposed corrective amendment. It is proposed that rule 6.5 of schedule J1 be amended by the inclusion of the words “ <i>and other relevant items</i> ”. The objective of this proposed amendment is to promote consistency within the Rules.	The insertion of the words “, and other relevant items,” after the word “ <i>procedures</i> ” in the fourth line.
64.	Rule 6.5 of schedule J1	Proposed consequential amendment. It is proposed that rule 6.5 of schedule J1 be amended by making reference to the proposed new defined term “ applicant ”. The objective of this proposed amendment is to aid ease of interpretation of the Rules.	The deletion of the word “ <i>applicant</i> ” which will be replaced with the word “ applicant ”.
65.	Rule 6.6 of schedule J1	Proposed expansion of an existing obligation. It is proposed that rule 6.6 of schedule J1 be amended by referring to “ <i>two months</i> ” instead of “ ten business days ”.	The deletion of the words “ ten business days ” which will be replaced with the words “ <i>the date falling 2 months</i> ”.

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>The objective of this proposed amendment is to alter the time by which applicants must submit final audit reports if they are applying or re-applying for certification, to two months in advance of the intended date of certification.</p>	
66.	Rule 6.6 of schedule J1	<p>Proposed consequential amendment. It is proposed that rule 6.6 of schedule J1 be amended by making reference to the proposed new defined term "applicant" and by deleting the word "by" in the sixth line.</p> <p>The objective of this proposed amendment is to aid ease of interpretation of the Rules.</p>	<p>The deletion of the word "<i>applicant</i>" which will be replaced with the word "applicant" in each place it occurs.</p> <p>The deletion of the word "by" in the sixth line.</p>
67.	Rule 6.7 of schedule J1	<p>Proposed consequential amendment. It is proposed that rule 6.7 of schedule J1 be amended by making reference to the proposed new defined term "applicant".</p> <p>The objective of this proposed amendment is to aid ease of interpretation of the Rules.</p>	<p>The deletion of the word "<i>applicant</i>" which will be replaced with the word "applicant" in both places it occurs.</p>
68.	Rule 7 of schedule J1	<p>Proposed expansion of an existing obligation. It is proposed that rule 7 of schedule J1 be amended by making reference to an applicant's agent or agents, and by making reference to the proposed new defined term "applicant".</p> <p>The objective of this proposed amendment is to clarify that, for the purposes of certification, applicants can rely on the ISO certification of an agent or agents and to aid ease of interpretation of the Rules.</p>	<p>The proposed alterations:</p> <p>7 ISO accreditation</p> <p><i>In order for an applicant applicant to obtain or maintain certification, the Board must have confirmation that the applicant applicant or, where the applicant uses an agent or agents, that agent or each of those agents, has a current AS/NZS ISO 9001:2000 quality certification or a quality certification that the Board deems to be equivalent to the AS/NZS ISO 9001:2000 quality certification for the relevant facilities, processes and procedures, and other relevant items.</i></p>
69.	Rule 8 of schedule J1	Proposed introduction of a new mechanism to	The deletion of rule 8 of schedule J1 which will be replaced as

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>enable the Board to require audits and a corrective amendment.</p> <p>The objective of this proposed amendment is to provide a clear mechanism for the Board, as well as participants, to require participants to be audited, and the consequences of that audit (including the Board making a determination, publishing a summary of the report, and the payment of the costs of the audit).</p>	<p>follows:</p> <p>8. Board and participant requested audits</p> <p>8.1 Board may carry out audit or participant may request audit</p> <p><i>If the Board or a requesting participant at any time reasonably considers, in good faith, that a participant may no longer comply with all the relevant rules for gathering, processing or managing information:</i></p> <p>8.1.1 Board may require audit <i>The Board may carry out an audit;</i></p> <p>8.1.2 Participant may request audit <i>That requesting participant may request that the Board carry out an audit, or that the Board appoint an auditor to carry out the audit.</i></p> <p>8.2 Board to carry out audit <i>The Board may carry out the audit itself, or may appoint an auditor to carry out an audit of any participant, or its agent or agents (as the case may be), which the Board or the requesting participant considers may no longer comply with all of the relevant rules for the gathering, processing or management of information, to address such matters as the Board or the requesting participant may reasonably require. The Board or its auditor may request such additional information and</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p data-bbox="1375 268 2047 448"><i>carry out such inspections of the participant and audits of its facilities, processes and procedures, and any other relevant items used by the participant alleged to be in breach, as the Board may consider necessary to enable it or the auditor to carry out such audit and, if appropriate, make recommendations to the Board.</i></p> <p data-bbox="1279 523 1765 549">8.3 Participants to provide access</p> <p data-bbox="1375 587 2047 799"><i>The participant must afford the Board or any auditor appointed by the Board for this purpose full access to all relevant facilities, personnel, records and manuals at any time within normal working hours, and will provide to the Board or auditor (as the case may be) any additional information which the Board or auditor reasonably considers is necessary.</i></p> <p data-bbox="1279 868 1704 893">8.4 Production of audit report</p> <p data-bbox="1375 916 2047 1182"><i>The Board, or if relevant the auditor, must produce an audit report which addresses the matters required of it and also identifies, if the Board so requires, the extent to which the facilities, processes and procedures and any other relevant items used by the participant alleged to be in breach complied, with the requirements of the regulations and the rules, both at the time of the audit and historically, and also identify any areas for improvement.</i></p> <p data-bbox="1279 1267 1742 1292">8.5 Board to make determination</p> <p data-bbox="1375 1315 2047 1453"><i>After consideration of the audit finding or any other input as deemed appropriate, the Board must determine any instances of non-compliance and report back to the non-compliant participant. Details of action which has been taken by the non-compliant participant to correct any</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p><i>non-compliance must be submitted to the Board by that participant within 10 business days of receiving the report;</i></p> <p>8.6 Board to publish a summary of the audit report <i>The Board must publish a summary of the audit report, including where appropriate any responses from the participant; and</i></p> <p>8.7 Costs of audit <i>Despite rule 5.3 of schedule J1, the costs of any audit carried out in accordance with this rule 8:</i></p> <p>8.7.1 <i>required by the Board must be paid as follows:</i></p> <p>8.7.1.1 If participant has performed its obligations <i>If an audit establishes, to the satisfaction of the Board, that the relevant participant has performed its obligations under the regulations and rules, then the Board will pay for the audit;</i></p> <p>8.7.1.2 If participant has not performed its obligations <i>If an audit establishes, to the satisfaction of the Board, that the relevant participant has not performed its obligations under the regulations and the rules, then</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p data-bbox="1630 261 2056 635"><i>that participant must pay for the audit. Where the Board believes the non-performance of the relevant participant's obligations is minor the Board may, in its discretion, make an assessment regarding the proportion of the costs of the audit that are to be borne by that participant and the Board, and those costs shall be paid by the participant and the Board accordingly; and</i></p> <p data-bbox="1496 703 2056 767">8.7.1.3 <i>If the participant has performed some obligations</i></p> <p data-bbox="1630 783 2056 1246"><i>If an audit establishes, to the satisfaction of the Board, that in respect of some (but not all) matters investigated by the auditor, the relevant participant has not performed its obligations under the regulations or the rules, then the Board will make an assessment regarding the proportion of the costs of the audit that are to be borne by that participant and the Board, and those costs shall be paid by the participant and the Board accordingly.</i></p> <p data-bbox="1373 1270 2056 1334">8.7.2 <i>requested by a requesting participant must be paid as follows:</i></p> <p data-bbox="1496 1406 2056 1463">8.7.2.1 <i>If the participant alleged to be in breach has performed its</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p>obligations</p> <p><i>If an audit establishes to the satisfaction of the Board that the facilities, processes and procedures and any other relevant items of the participant alleged to be in breach (for clarity, including those of its agents (if any)) do meet the requirements of the regulations and the rules, then the requesting participant must pay for the cost of the audit; and</i></p> <p>8.7.2.2 <i>If the participant alleged to be in breach has not performed its obligations</i></p> <p><i>If an audit establishes, to the satisfaction of the Board, that the facilities, processes and procedures and any other relevant items of the participant alleged to be in breach (for clarity, including those of its agents (if any)) do not in part or in whole meet requirements of the regulations and the rules, then those costs must be paid by the participant alleged to be in breach and the requesting participant, in the proportions determined by the Board.</i></p>
70.	Rule 3.3 of schedule J2	Proposed clarificatory amendment. It is proposed that rule 3.3 of schedule J2 be amended so as to exclude the reconciliation manager from the application of the rule.	The insertion of the words “(excluding the reconciliation manager)” after the words “between participants ”.

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>The objective of this proposed amendment is to explicitly state that the reconciliation manager is excluded from the application of the rule.</p>	
71.	Rule 5.4 of schedule J2	<p>Proposed consequential amendment. It is proposed that rule 5.4 of schedule J2 be amended by removing the embedded definition of the expression “<i>exceptional circumstances</i>” as a result of shifting the embedded definition to part A.</p> <p>The objective of this proposed amendment is to aid interpretation and ease of understanding.</p>	<p>The deletion of the words “<i>exceptional circumstances</i>” which will be replaced with the words “exceptional circumstances”.</p> <p>The deletion of the words “<i>For the purposes of this rule, “exceptional circumstances” means circumstances where access to the relevant meter is not achieved despite the best endeavours of the reconciliation participant.</i>”.</p>
72.	Rule 5.6.3 of schedule J2	<p>Proposed consequential amendment. It is proposed that rule 5.6.3 be amended by making reference to the proposed new defined term “participant identifier”.</p> <p>The objective of this proposed amendment is to aid ease of interpretation of the Rules.</p>	<p>The proposed alterations:</p> <p><i>The participant identifier for the certified reconciliation participant identifier;</i></p>
73.	Rule 7.1.4 of schedule J2	<p>Proposed clarificatory amendment. It is proposed that rule 7.1.4 of schedule J2 be amended by deleting the word “<i>obvious</i>”.</p> <p>The objective of this proposed amendment is to delete inappropriate text to aid interpretation and ease of understanding.</p>	<p>The deletion of the word “<i>obvious</i>”.</p>
74.	Rule 3.1 of schedule J3	<p>Proposed corrective amendment. It is proposed that rule 3.1 of schedule J3 be deleted.</p> <p>The objective of this proposed amendment is to eliminate a previously included incorrect rule.</p>	<p>The deletion of rule 3.1 of schedule J3.</p>
75.	Rule 3.2 of schedule J3	<p>Proposed corrective amendment. It is proposed that rule 3.2 of schedule J3 be amended by</p>	<p>The deletion of the word “network” which will be replaced with the word “NSP”.</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>referring to “NSP” instead of “network”.</p> <p>The objective of this proposed amendment is to correct an incorrectly used term.</p>	
76.	Rule 4 of schedule J3	<p>Proposed amendment to an existing obligation. It is proposed that rule 4 of schedule J3 be amended to:</p> <ul style="list-style-type: none"> (a) correct the times frames for compliance; (b) clarify that the information must be provided to the Board for the Board to publish; (c) use the correct defined terms; and (d) delete the previously embedded defined term of “<i>exceptional circumstances</i>”. <p>The objective of this proposed amendment is to:</p> <ul style="list-style-type: none"> (i) correct the time frames for compliance; and (ii) aid understanding and interpretation of the Rules. 	<p>The proposed alterations:</p> <p>4. Reporting requirements</p> <p><i>By 1200-1600 hours on the last thirteenth business day of each reconciliation period reconciliation participants must report to the reconciliation manager the proportion of historical estimates per balancing areaNSP contained within its non half hour submission information.</i></p> <p><i>By 1200 hours on the seventh-last business day of each reconciliation period, the reconciliation manager must publish<i>provide to the Board a report of the proportion of historical estimates per balancing areaNSP, per reconciliation participant being used to create non half hour consumption information in respect of each consumption period being reconciled and the Board must publish this information.</i></i></p> <p><i>The proportion of submission information per retailer per balancing areaNSP that is comprised of historical estimates must, unless exceptional circumstances exceptional circumstances exist, be:</i></p> <p>4.1 <i>at least 80% when revised data is provided at the month 3 revision;</i></p> <p>4.2 <i>at least 90% when revised data is provided at the month 7 revision; and</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)								
			<p>4.3 100% when revised data is provided at the month 14 revision.</p> <p><i>For the purposes of this rule, “exceptional circumstances” means circumstances where access to a metering installation is not achieved despite the best endeavours of the retailer.</i></p>								
77.	Rule 1 of schedule J4	<p>Proposed corrective amendment. It is proposed that rule 1 of schedule J4 be amended by inserting the words “<i>The steps are:</i>”.</p> <p>The objective of this proposed amendment is to ensure consistency within the rule itself.</p>	The insertion of the words “ <i>The steps are:</i> ” after the words “ <i>of this Schedule.</i> ”.								
78.	Rule 2 of schedule J4	<p>Proposed consequential amendment. It is proposed that rule 2 of schedule J4 be amended.</p> <p>The objective of this proposed amendment is to achieve consistency within the Rules.</p>	<p>The proposed alterations:</p> <table border="1" data-bbox="1227 903 2040 1153"> <thead> <tr> <th data-bbox="1227 903 1489 987">Timing</th> <th data-bbox="1489 903 1778 987">Initial-Reconciliation Process</th> <th data-bbox="1778 903 2040 987">Revisions Cycles</th> </tr> </thead> <tbody> <tr> <td data-bbox="1227 987 1489 1153">Commencement of the first day of the calendar month reconciliation period.</td> <td data-bbox="1489 987 1778 1153">Beginning of reconciliation period.</td> <td data-bbox="1778 987 2040 1153">Beginning of reconciliation period.</td> </tr> </tbody> </table>			Timing	Initial-Reconciliation Process	Revisions Cycles	Commencement of the first day of the calendar month reconciliation period .	Beginning of reconciliation period .	Beginning of reconciliation period .
Timing	Initial-Reconciliation Process	Revisions Cycles									
Commencement of the first day of the calendar month reconciliation period .	Beginning of reconciliation period .	Beginning of reconciliation period .									

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)		
			<p>By 1600 hours on the 4th business day of the calendar month reconciliation period until the final opportunity to submit submission information for the final revisions cycle.</p>	<p>The registry makes available, and the reconciliation manager procures: ICP days, loss factor and balancing area and half hour ICP days identifiers information, in accordance with rule 24 of part E.</p> <p>All reconciliation participants must submit to the reconciliation manager submission information, retailer information and NSP information, in accordance with rule 4 of part J.</p>	
			<p>By 1600 hours on the 7th business day of the calendar month reconciliation period.</p>	<p>Reconciliation manager must have completed a reconciliation of the submission information provided by participants and the grid owner in accordance with this schedule J4, and must make reconciliation information available to the entitled reconciliation participants and the clearing manager for settlement.</p> <p>The Board must have published a report of the</p>	

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)	
				<p>proportion of historical estimates per balancing area, per reconciliation participant being used to create non half hour consumption information, in accordance with rule 4 of schedule J3.</p>
			<p>From the 8th business day of the calendar month reconciliation period.</p>	<p>Reconciliation participants must seek to resolve all inaccuracies and disputes concerning the reconciliation information.</p>
			<p>By 1600 hours on the 13th business day of the calendar month reconciliation period.</p>	<p>All reconciliation participants must submit to the reconciliation manager revised submission information, retailer information and NSP information in accordance with Rules 4 and 11 of part J <u>and rule 4 of schedule J3.</u></p> <p>The registry makes available and the reconciliation manager procures revised: ICP days, loss factor, balancing area and half hour ICP identifiers information, in</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)		
					accordance with rule 24 of part E and rule 4 of schedule J3.
			By 1200 hours on the last business day of the reconciliation period .		Reconciliation manager must distribute revised reconciliation information to the entitled reconciliation participants and the clearing manager , in accordance with rule 15 of <u>schedule J4</u> .
79.	Rule 3 of schedule J4	<p>Proposed clarificatory amendment. It is proposed that rule 3 of schedule J4 be amended by clearly setting out the requirements for calculation of volume information by difference.</p> <p>The objective of this proposed amendment is to introduce new provisions providing clarity in the requirements for calculation of volume information by difference on local networks where it is necessary for grid connections that are complex.</p>	<p>The proposed alterations:</p> <p>3. Calculation by difference for some embedded networks</p> <p>One ICP A trader may, from time to time,;</p> <p>3.1 -provided that there is not at that time already an ICP designated by that or any other trader under this rule 3, on an embedded network may, if designated by the relevant retailer designate (using the codes as published by the Board) one ICP on any embedded network; or</p> <p>3.2 apply to the Board for approval for any local network, , have itsfor which the volume information is to be calculated by difference. The reconciliation manager must calculate the volume information for the designated ICP as the difference between the embedded network NSP or the other local network NSP quantity (as the case may be) on the one hand, quantity and the sum of the submission information for all other ICPss connected to the embedded network or other local network (as the case may be) on the other, such amount adjusted for losses and ICP days. The reconciliation manager must, in the case of an embedded network, for any such designated ICP, or in the case of a local network, the</p>		

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p><u>trader identified under rule 5 of part J, allocate the volume information so calculated by difference to the relevant trader which made the designation, unless and until the reconciliation manager receives notification under rule 3 of part J of a cessation of any such designation.</u></p>
80.	Rule 4.2 of schedule J4	<p>Proposed amendment to an existing obligation. It is proposed that rule 4.2 of schedule J4 be amended by setting an upper limit to the ICP scaling factor.</p> <p>The objective of this proposed amendment is to provide certain limits for the application of the ICP scaling factor to avoid any unduly harsh and unintended consequences.</p>	<p>The deletion of the words:</p> <p><i>If:</i></p> <p>a) <i>the ICP scaling factor is calculated to be less than 1; or</i></p> <p>b) <i>ICPD_{RTL}R = 0</i></p> <p><i>then ICP_{SF} must be set to 1</i></p> <p>which will be replaced by the words:</p> <p><i>Provided that if:</i></p> <p>4.2.1 <i>the ICP scaling factor is calculated to be less than 1, it must be set to 1;</i></p> <p>4.2.2 <i>ICPD_{RTL}R = 0, then the ICP scaling factor must be set to 1; or</i></p> <p>4.2.3 <i>if the ICP scaling factor is calculated to be greater than 1, it must not exceed a figure nominated and published from time to time by the Board.</i></p>
81.	Rule 4.2 of schedule J4	<p>Proposed clarificatory amendment. It is proposed that rule 4.2 of schedule J4 be amended by stating that the reconciliation manager must also use default values for profile and loss category codes when data is not supplied.</p> <p>The objective of this proposed amendment is to</p>	<p>The insertion of the word “<i>must</i>” after the words “<i>reconciliation manager</i>” in the last paragraph.</p> <p>The deletion of the word “<i>must</i>” in the second line of the last paragraph which will be replaced with the words “<i>and when data is not supplied</i>”.</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		clarify when the reconciliation manager must use default values for profile and loss category codes.	
82.	Rule 6.2 of schedule J4	<p>Proposed expansion of the application of an existing obligation. It is proposed that rule 6.2 of schedule J4 be amended by making reference to “operation logs”.</p> <p>The objective of this proposed amendment is to recognise that operation logs can be submitted instead of shape files.</p>	The insertion of the words “or operation logs” after the words “ Profile shapes ” in the heading and after the words “the shape file”.
83.	Rule 7 of schedule J4	<p>Proposed introduction of a new mechanism. It is proposed that rule 7 of schedule J4 be amended by providing for the introduction of Board notified loss factors.</p> <p>The objective of this proposed amendment is to introduce the ability of the Board to notify, and for the reconciliation manager to apply, Board advised default loss factors.</p>	<p>The proposed alterations:</p> <p>7. Apply loss Loss factors</p> <p><u>The Board may, from time to time, by giving notice direct the reconciliation manager to apply certain values for loss factors for each loss category for any reconciliation period for which the registry does not, for any reason, provide the reconciliation manager with the loss factors for each loss category in accordance with rule 24.2.2 of part E. If the Board makes such a direction, the reconciliation manager must after adjustment for ICP days scaling and the application of profiles, apply such loss factors to all submission information for all reconciliation periods during which the Board’s direction remains current.</u></p> <p>The registry must provide the reconciliation manager with the loss factors for each loss category in accordance with rule 24 of part E, and the reconciliation manager must, after adjustment for ICP days scaling and application of profiles, apply the loss factors to all submission information.</p> <p>The reconciliation manager must apply loss factors to submission information in respect of each embedded networks and interconnection point, and submission information in respect of parent networks for the appropriate</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<i>reconciliation period.</i>
84.	Rule 8.1 of schedule J4	<p>Proposed consequential amendment. It is proposed that rule 8.1 of schedule J4 be amended by referring to “<i>Q_{BA-EN}</i>” instead of “<i>Q_{ICPD-LA}</i>” and deleting the reference to embedded networks.</p> <p>The objective of this proposed amendment is to correct a previous mistaken calculation.</p>	<p>The deletion of the words “<i>Q_{ICPD-LA}</i>” which will be replaced with the words “<i>Q_{BA-EN}</i>”.</p> <p>The deletion of the words “<i>and embedded networks</i>”.</p>
85.	Rule 8.2 of schedule J4	<p>Proposed corrective amendment. It is proposed that rule 8.2 of schedule J4 be amended by expanding the meaning of “<i>Q_{ICPD-LA}</i>”, since it is also proposed that that term is no longer used in rule 8.1 of schedule J4.</p> <p>The objective of this proposed amendment is to refer to the correct references used for the calculation of the UFE factor.</p>	<p>The proposed alterations:</p> <p>8.2 UFE factor</p> <p><i>The reconciliation manager must calculate the UFE factor in respect of each balancing area and for each trading period as follows:</i></p> $UFE\ Factor_{BA} = TOT_{BA} / Q_{ICPD-LA}$ <p>Where:</p> <p><i>UFE Factor_{BA} = the unaccounted for electricity factor in respect of each balancing area and for each trading period; and</i></p> <p><i>TOT_{BA} / Q_{ICPD-LA} each has the meaning given to it in rule 8.1.</i></p> <p><i>Q_{ICPD-LA} = all electricity supplied to consumers and embedded networks connected to the balancing area, being the sum of the consumption parts of submission information, adjusted for losses and ICP days; and</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<u>TOT_{BA}</u> <u>has the meaning given to it in rule 8.1.</u>
86.	Rule 9.1 of schedule J4	<p>Proposed clarificatory amendment. It is proposed that rule 9.1 of schedule J4 be amended to clarify what is meant by “unusual circumstance”.</p> <p>The objective of this proposed amendment is to aid interpretation and understanding of the Rules.</p>	The insertion of the words “which must have been approved beforehand in writing by the Board ” after the words “unusual circumstances”.
87.	Rule 9.2.2 of schedule J4	<p>Proposed corrective amendment. It is proposed that rule 9.2.2 of schedule J4 be amended by referring to “retailer, for each consumption period” instead of “retailer, and for each consumption period”.</p> <p>The objective of this proposed amendment is to achieve consistency within the Rules.</p>	The deletion of the word “and” after the word “ retailer, ” in the second paragraph.
88.	Rule 10.1 of schedule J4	<p>Proposed corrective amendment. It is proposed that the word “scaled” be shifted within the term “<i>Q_{ICPD-LA Ri}</i>”.</p> <p>The objective of this proposed amendment is to correct a previous incorrect placement of a word.</p>	<p>The deletion of the word “scaled” in the term “<i>Q_{ICPD-LA Ri}</i>”.</p> <p>The insertion of the word “scaled” after the words “ICP days” in the term “<i>Q_{ICPD-LA Ri}</i>”.</p>
89.	Rule 11 of schedule J4	<p>Proposed corrective amendment. It is proposed that rule 11 of schedule J4 be amended by making reference to the loss adjustment, and scaling factor for ICP days, of submission information.</p> <p>The objective of this proposed amendment is to ensure that the correct position of UFE is allocated to each reconciliation participant.</p>	The insertion of the words “(which have been loss adjusted and scaled for ICP days)” after the words “ submission information quantities ”.

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
90.	Rule 12 of schedule J4	<p>Proposed corrective amendment. It is proposed that rule 12 of schedule J4 be amended by referring to retailers <i>“and”</i> purchasers instead of retailers <i>“or”</i> purchasers.</p> <p>The objective of this proposed amendment is to clarify that the rule applies to both retailers and purchasers.</p>	The deletion of the word <i>“or”</i> after the word “retailers” which will be replaced with the word <i>“and”</i> where appropriate (refer to the draft rules for location).
91.	Rule 12.3 of schedule J4	<p>Proposed corrective amendment. It is proposed that rule 12.3 of schedule J4 be amended by referring to <i>“over-allocated”</i> and <i>“under-allocated”</i> instead of <i>“over allocated”</i> and <i>“under allocated”</i>.</p> <p>The objective of this proposed amendment it to achieve consistency within the Rules.</p>	The insertion of a <i>“-“</i> between the words <i>“over”</i> and <i>“allocated “</i> and <i>“under”</i> and <i>“allocated”</i> in each place where it occurs.
92.	Rule 14.2 of schedule J4	<p>Proposed alteration of the application of an existing obligation. It is proposed that rule 14.2 of schedule J4 be amended by making reference to generators and electricity traded.</p> <p>The objective of this proposed amendment is to clarify that the report is by electricity trader.</p>	<p>The insertion of the word “electricity” after the words <i>“summary of”</i>.</p> <p>The insertion of the word “, generator” after the word “retailer”.</p>
93.	Rule 14.3.2 of schedule J4	<p>Proposed corrective amendment. It is proposed that rule 14.3.2 of schedule J4 be amended so as to refer to retailers and direct purchasers in the plural.</p> <p>The objective of this proposed amendment is to promote consistency within the Rules.</p>	The deletion of the word “purchaser” which will be replaced with the words “purchasers” in the last line.
94.	Rule 15.3 of schedule J4	Proposed clarificatory amendment. It is proposed that rule 15.3 be amended by making reference to all amounts derived by the reconciliation manager in accordance with rule 10.2 of schedule J4.	The insertion of the words <i>“(for clarity including all amounts derived by the reconciliation manager in accordance with rule 10.2 of schedule J4)”</i> after the words “reconciliation information” .

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>The objective of this proposed amendment is to clearly link the application of the rule to rule 10.2 of schedule J4.</p>	
95.	Rule 15 of schedule J4	<p>Proposed clarificatory amendment. It is proposed that rule 15 of schedule J4 be amended by including a new rule 15.6 which cross-refers to rule 4 of schedule J3.</p> <p>The objective of this proposed amendment is to draw a link between the requirements of rule 15 of schedule J4 and the requirements of rule 4 of schedule J3.</p>	<p>The insertion of:</p> <p>... and</p> <p>15.6 Board</p> <p><i>The report referred to in rule 4 of schedule J3.</i></p>
96.	Rule 1 of schedule J5	<p>Proposed corrective amendment. It is proposed that rule 1 of schedule J5 be amended by referring to "electricity" instead of "<i>energy</i>" and "unmetered load" instead of "<i>unmetered supply</i>".</p> <p>The objective of this proposed amendment is to correct previously incorrect terminology.</p>	<p>The deletion of the word "<i>energy</i>" which will be replaced with the word "<i>electricity</i>".</p> <p>The deletion of the words "<i>unmetered supply</i>" which will be replaced with the words "unmetered load".</p>
97.	Rule 5.1 of schedule J5	<p>Proposed consequential amendment. It is proposed that rule 5 of schedule J5 be amended by referring to profile class 2.5 instead of 1.6 as a result of the proposed shifting of rule 1.6 of schedule J5.</p> <p>The objective of this proposed amendment is to ensure that the rule cross refers to the proposed new rule 2.5 of schedule J5.</p>	<p>The deletion of the words "1.6 and" in the heading.</p> <p>The insertion of the words "; and 2.5" after the word "1.7" in the heading.</p> <p>The deletion of the word "<i>1.6</i>" which will be replaced with the word "<i>2.5.1</i>" in both places where it occurs in rule 5.1.3.1.</p> <p>The deletion of the word "<i>1.6</i>" which will be replaced with the word "<i>2.5.1</i>" in both places where it occurs in rule 5.1.3.2.</p>
98.	Rule 5.1.1 of schedule J5	<p>Proposed corrective amendment. It is proposed that rule 5.1.1 of schedule J5 be amended by referring to "RPS" instead of "GXP".</p>	<p>The deletion of the word "GXP" which will be replaced with the word "RPS".</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		The objective of this proposed amendment is to correct a previously incorrect definition.	
99.	Rule 5.1.4.1 of schedule J5	<p>Proposed corrective amendment. It is proposed that rule 5.1.4.1 of schedule J5 be amended by referring to “DFP” instead of “DIP”.</p> <p>The objective of this proposed amendment is to correct a previously incorrect definition.</p>	The deletion of the word “DIP” which will be replaced with the word “DFP”.
100.	Rule 6.1 of schedule J5	<p>Proposed corrective amendment. It is proposed that rule 6.1 of schedule J5 be amended by amending the definitions of the formulaic components contained within the rule.</p> <p>The objective of this proposed amendment is to correct previously incorrect formulaic components.</p>	<p>The proposed alterations are:</p> <p>6.1 Determine total balancing area load</p> <p><i>This calculation determines the total electricity consumption inside a balancing area by summing all of the injection into a balancing area and subtracting the extraction out of the balancing area. In this case, injection is defined as electricity entering (E_i) the balancing area and includes flows from embedded generators, or any other network (including embedded networks or the grid). Similarly, extraction is defined as the flows of electricity leaving (L_i) the balancing area, to other networks.</i></p> <p><i>This process must be carried out for each trading period and for each balancing area within which there is non half hour metered electricity to be reconciled by following the procedure below:</i></p> $TOT_{BA} = \underbrace{(E_{NSPGD} + E_{LN} + E_{EN})}_{\text{Sum of energy flow leaving the balancing area}} - \underbrace{(L_{NSPGD} + L_{LN} + L_{EN})}_{\text{Sum of generation injection entering the balancing area}} + \underbrace{(E_{EG})}_{\text{Sum of generation injection entering the balancing area}}$

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p>WhereWhere:</p> <p>TOT_{BA} = the total quantity of electricity consumed within the balancing area, measured as being the sum of flows injected into the balancing area less flows out to any embedded network or to another connected network;</p> <p>E_{NSPGD} = the quantity of electricity entering the balancing area, as measured by the grid NSP metering-metering installation for the balancing area. For a grid connected network this is the grid extraction quantity. For an embedded network this is the extraction quantity from the parent network measured by the meter at the embedded network gateway;</p> <p>E_{LN} = the quantity of electricity, entering the balancing area <u>through an interconnection point</u> from another network, as measured by the NSP metering installation (which has been adjusted for losses);</p> <p>L_{NSPGD} = the quantity of electricity leaving the balancing area, as measured by the grid NSP metering-metering installation for the balancing area. For a grid connected network this is the grid injection quantity. For an embedded network this is the injection quantity into the parent network measured by the meter at the embedded network gateway;</p> <p>E_{EN} = the quantity of electricity entering the balancing area from an embedded network, as measured by the NSP gateway metering-metering installation for the embedded network;</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p>E_{EG} = the quantity of electricity entering the balancing area from an embedded generator connected to the network, (which may either be half hour or non half hour metered), <u>as measured by the NSP metering installation</u>;</p> <p>L_{LN} = the quantity of electricity, leaving the balancing area <u>through an interconnection point to another network, as measured by the NSP metering installation</u> (which has been adjusted for losses losses); and.</p> <p>L_{EN} = the quantity of electricity, leaving the balancing area to an embedded network, as measured by the NSP gateway metering metering installation for the embedded network.</p>
101.	Rule 6.2 of schedule J5	<p>Proposed corrective amendment. It is proposed that rule 6.2 of schedule J5 be amended by making reference to the loss adjustment, and scaling factor for ICP days, of submission information.</p> <p>The objective of this proposed amendment is to ensure that the correct position of UFE is allocated to each reconciliation participant.</p>	The insertion of the words "(which has been adjusted for losses and ICP days)" after the words " submission information " in the third line.
102.	Rule 6.3 of schedule J5	<p>Proposed corrective amendment. It is proposed that rule 6.3 of schedule J5 be amended by inserting the word "values" in the formulaic component "GXP_{Init}".</p> <p>The objective of this proposed amendment is to include a word that had been previously, inadvertently, left out.</p>	The insertion of the word " values " after the words " This set of " in the fourth line of the second paragraph.

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
103.	Rule 6.3 of schedule J5	<p>Proposed clarificatory amendment. It is proposed that rule 6.3 of schedule J5 be amended by introducing a new formulaic component for scaling factors.</p> <p>The objective of this proposed amendment is to align transitional revision seasonal adjustment shapes and global reconciliation period seasonal adjustment shapes.</p>	<p>The proposed alterations to the formula:</p> $GXP_{Init} = \underbrace{(NHH_{Tot} - (Pr_{ENG} + Pr_{STAT}))}_{\substack{\text{Sum of independently} \\ \text{shaped, non half hour} \\ \text{profiled consumption internal} \\ \text{to the network area}}} \times SF$ <p>The insertion of:</p> <p><i>SF</i> = the scaling factor as notified by the Board to the reconciliation manager, from time to time.</p>
104.	Rule 6.4 of schedule J5	<p>Proposed corrective amendment. It is proposed that rule 6.4 of schedule J5 be amended by deleting “GXP”, and instead, in the second paragraph, making reference to the term “RPS”.</p> <p>The objective of this proposed amendment is to replace a previously incorrectly included word.</p>	<p>The deletion of the word “GXP” in the second paragraph which will be replaced with the word “RPS”.</p> <p>The deletion of the word “GXP” after the words “specifies the use of the”.</p>
105.	Rule 8.4.1 of schedule J5	<p>Proposed corrective amendment. It is proposed that rule 8.4.1 of schedule J5 be amended by making reference to the market administrator instead of the Board.</p> <p>The objective of this proposed amendment is to clarify that profile administration activities are to be undertaken by the market administrator.</p>	<p>The deletion of the word “Board” which will be replaced with the words “market administrator”.</p>
106.	Rule 8.4.2 of schedule J5	<p>Proposed corrective amendment. It is proposed that rule 8.4.2 of schedule J5 be amended by making reference to the market administrator instead of the Board.</p>	<p>The deletion of the word “Board” which will be replaced with the words “market administrator”.</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
		<p>The objective of this proposed amendment is to clarify that profile administration activities are to be undertaken by the market administrator.</p>	
107.	Rule 8.9 of schedule J5	<p>Proposed clarificatory amendment. It is proposed that rule 8.9 of schedule J5 be amended by including the words “<i>and changes</i>” in the heading.</p> <p>The objective of this proposed amendment is to ensure that the heading of the rule reflects the body of the rule.</p>	<p>The insertion of the words “<i>and changes</i>” after the words “<i>Profile maintenance</i>”.</p>
108.	Rule 8.9.2 of schedule J5	<p>Proposed alteration of an existing obligation. It is proposed that rule 8.9.2 of schedule J5 be amended by:</p> <ul style="list-style-type: none"> (a) the inclusion of a time frame for compliance; (b) clarifying that the profile owner must be notified of the market administrator’s determination; and (c) clarifying the current one month time frame. <p>The objective of this proposed amendment is to:</p> <ul style="list-style-type: none"> (a) introduce a timing requirement for the profile owner to notify the market administrator of the changes in the profile population list; (b) state expressly that the profile owner must be notified; and (c) clarify from when the one month timing requirement applies. 	<p>The insertion of the words “, <i>within 10 business days of that profile owner becoming aware of such change in membership,</i>” after the word “<i>must</i>” in the third line.</p> <p>The insertion of the words “, <i>and notify the profile owner of</i>”</p> <p>The insertion of the words “<i>from the date of notification from the market administrator</i>” after the words “<i>have 1 month</i>”.</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
109.	Rule 8.9 of schedule J5	<p>Proposed amendment to allow the submissions and approval of applications to change existing profiles. It is proposed that rule 8.9 of schedule J5 be amended by introducing a new rule 8.9.4.</p> <p>The objective of this proposed amendment is the introduction of a mechanism to allow for the approval of changes to existing profiles.</p>	<p>The insertion of:</p> <p>8.9.4 Any profile owner may apply to change a profile. Any application in accordance with this rule 8.9.4 to change a profile must be submitted to the market administrator, who must either advise the profile applicant of further actions, or must approve or reject the application no later than 15 business days after its receipt. Each application in accordance with this rule 8.9.4 must contain:</p> <p>8.9.4.1 the profile code for the profile which the proposed change relates to; and</p> <p>8.9.4.2 details of the change or changes being proposed.</p> <p>When considering any application to change a profile, the market administrator must be satisfied that the requirements set out in rules 7.2 (for NSP derived profiles) and 8.1 and 8.3 (for statistically sampled engineered profiles), with all necessary changes, have been met.</p>
110.	Rule 8.9.3.2 of schedule J5	<p>Proposed corrective amendment. It is proposed that rule 8.9.3.2 of schedule J5 be amended by making reference to the market administrator instead of the Board.</p> <p>The objective of this proposed amendment is to clarify that profile administration activities are to be undertaken by the market administrator.</p>	<p>The deletion of the word "Board" which will be replaced with the words "market administrator".</p>
111.	Rule 9 of schedule J5	<p>Proposed corrective amendment. It is proposed that rule 9 of schedule J5 be amended by making reference to the market administrator instead of the Board.</p> <p>The objective of this proposed amendment is to clarify that profile administration activities are to be undertaken by the market administrator.</p>	<p>The deletion of the word "Board" which will be replaced with the words "market administrator".</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
112.	Rule 11 of schedule J5	<p>Proposed consequential corrective amendment. It is proposed that rule 11 of schedule J5 be amended by referring to the Board instead of the Rulings Panel and “<i>alleged breaches</i>”.</p> <p>The objective of this proposed amendment is to ensure that the Rules are consistent with the Regulations, in particular rules 12.2 of part J and rule 11 of schedule J5 and to achieve consistency within the Rules.</p>	<p>The insertion of the word “<i>alleged</i>” before the word “<i>breaches</i>”.</p> <p>The deletion of the words “<i>Rulings Panel</i>” which will be replaced with the words “<i>Board and resolved in accordance with the regulations</i>”.</p> <p>The deletion of the word “<i>participant</i>” which will be replaced with the word “<i>profile owner</i>” in the second paragraph.</p>
113.	Rule 1 of Appendix 1 to schedule J5	<p>Proposed clarificatory amendment. It is proposed that rule 1 of Appendix 1 to schedule J5 be amended by deleting the words “<i>Note that more descriptions may be added as they arise</i>”.</p> <p>The objective of this proposed amendment is eliminate inappropriate wording, as any new descriptions must be introduced by way of rule change.</p>	<p>The deletion of the words “<i>Note that more descriptions may be added as they arise</i>”.</p>
114.	Rule 1.5 of Appendix 1 to schedule J5	<p>Proposed clarificatory amendment. It is proposed that rule 1.5 of Appendix 1 to schedule J5 be amended by referring to “<i>NSP</i>” instead of “<i>GXP</i>”.</p> <p>The objective of this proposed amendment is to correct a previously included incorrect reference.</p>	<p>The deletion of the word “<i>GXP</i>” which will be replaced with the word “<i>NSP</i>”</p>
115.	Rule 1.6 of Appendix 1 to schedule J5	<p>Proposed clarificatory amendment. It is proposed that rule 1.6 of Appendix 1 to schedule J5 be shifted to rule 2.5 of Appendix 1 to schedule J5.</p> <p>The objective of this proposed amendment is to locate the rule in the correct profile class because the profile is not an NSP derived profile and therefore does not fit under class one.</p>	<p>Rule 1.6 of Appendix 1 to schedule J5 shall become rule 2.5 of Appendix 1 to schedule J5</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
116.	Rule 2.1.1 of Appendix 1 to schedule J5	<p>Proposed corrective amendment. It is proposed that rule 2.1.1 of Appendix 1 to schedule J5 be amended by replacing the word “<i>estimated</i>” with the word “<i>intended</i>”.</p> <p>The objective of this proposed amendment is to ensure that the main body of the text reflects the heading.</p>	The deletion of the word “ <i>estimated</i> ” which will be replaced with the word “ <i>intended</i> ”.
117.	Rule 2.1.2 of Appendix 1 to schedule J5	<p>Proposed clarificatory amendment. It is proposed that rule 2.1.2 of Appendix 1 to schedule J5 be amended by stating that documentation must be carried out “<i>by the profile owner</i>”.</p> <p>The objective of this proposed amendment is to clarify who must carry out the documentation of the elements of each time and load period.</p>	The insertion of the words “ <i>by the profile owner</i> ” after the word “ <i>documented</i> ”.
118.	Rule 2 of Appendix 1 to schedule J5	<p>Proposed expansion of the application of an existing obligation. It is proposed that rule 2 of Appendix 1 to schedule J5 be amended by introducing two new rules, dealing with, respectively, unmetered installations which require a shape file to be submitted, metered installations which require a shape file, and profile class 2.5 concerning embedded generation (which it is proposed be shifted from rule 1.6 of Appendix 1 to schedule J5).</p> <p>The objective of this proposed amendment is to include two additional codes, a code 2.3 and 2.4 and the shifting of existing rule 1.6 of Appendix 1 to schedule J5.</p>	<p>The insertion of:</p> <p>2.3 Unmetered installations which require a shape file to be submitted</p> <p>2.3.1 Intended loads</p> <p><i>Profiles may be applied to intended loads whose characteristics are reasonably predictable using time and other observable values.</i></p> <p>2.3.2 Details of load</p> <p><i>For these types of unmetered load, there needs to be a process for maintaining unmetered load quantities that are used in the reconciliation process. The shape file will be produced by the profile owner from a metering installation.</i></p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p><i>The elements making up each load and time period must be documented by the profile owner. The documentation must include a description of the methodology, formula, and the results of any calculations for any estimated data.</i></p> <p>2.4 Metered installations which require a shape file</p> <p>2.4.1 Intended loads</p> <p><i>Profiles may be applied to intended loads whose characteristics are reasonably predictable using time and other observable values.</i></p> <p>2.4.2 Details of load</p> <p><i>For these types of metered load, a metering installation shall be used to determine the quantity of electricity for reconciliation purposes.</i></p> <p><i>The elements making up each load and time period must be documented by the profile owner. The documentation must include a description of the methodology, formula, and the results of any calculations for any estimated data.</i></p> <p>2.5 Profile class 2.5, embedded generation</p> <p><i>There are two types of embedded generator profile as follows. Details of the operation and application of these profiles are as determined by the market administrator. The profiles must be submitted by the market administrator to the reconciliation manager.</i></p> <p>Embedded generation profiles:</p> <p>2.5.1 Photovoltaic</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
			<p><i>This is a time limited profile and may only be used for photovoltaic generation that injects electricity into the network during daylight hours; and</i></p> <p>2.5.2 Other</p> <p><i>This is a non limited flat load profile and must be used for all other embedded generation that does not fit within the profile in rule 2.5.1, or where the reconciliation participant has not created an engineered profile for the embedded generator.</i></p>
119.	Rule 2 of Appendix 2 to schedule J5	<p>Proposed consequential amendment. It is proposed that rule 2 of Appendix 2 to schedule J5 be amended by removing the embedded definition of the expression "<i>consumption pattern</i>" as a result of shifting the embedded definition to part A.</p> <p>The objective of this proposed amendment is to aid interpretation and ease of understanding.</p>	<p>The deletion of the words "<i>shape of the half hourly consumption (consumption pattern)</i>" which will be replaced with the words "<i>consumption pattern</i>".</p>
120.	Rule 2 of Appendix 2 to schedule J5	<p>Proposed corrective amendment. It is proposed that rule 2 of Appendix 2 to schedule J5 be amended by making reference to the market administrator instead of the Board.</p> <p>The objective of this proposed amendment is to clarify that profile administration activities are to be undertaken by the market administrator.</p>	<p>The deletion of the word "Board" which will be replaced with the words "market administrator" in both places it appears.</p>
121.	Rule 3 of Appendix 2 to schedule J5	<p>Proposed corrective amendment. It is proposed that rule 3 of Appendix 2 to schedule J5 be amended by making reference to the market administrator instead of the Board.</p> <p>The objective of this proposed amendment is to clarify that profile administration activities are to be undertaken by the market administrator.</p>	<p>The deletion of the word "Board" which will be replaced with the words "market administrator".</p>

Issue number	Rule reference	Description and objective of proposed change	Details of proposed changes (tracked where appropriate)
122.	Rule 2 of Appendix 3 to schedule J5	<p>Proposed corrective amendment. It is proposed that rule 2 of Appendix 2 of schedule J5 be amended by making reference to the market administrator instead of the Board.</p> <p>The objective of this proposed amendment is to clarify that profile administration activities are to be undertaken by the market administrator.</p>	The deletion of the words " Board " which will be replaced with the words " market administrator " in both places it appears.
123.	Part J	Several corrective, formatting, consistency-related and cosmetic amendments, which in no way impact on the meaning or effect of the Rules, set out in the section entitled " <i>Part J</i> " in the Attachment.	For details refer to the section entitled " <i>Part J</i> " in the Attachment.

ATTACHMENT

1. Part A

1.1 **Definition of the expression “*auditor*”:** It is proposed that:

- (a) the quotation marks be removed from around the defined term “*auditor*”, and
- (b) the “,” be removed from the end of the sentence.

1.2 **Definition of the expression “*back-up metering information*”:** It is proposed that:

- (a) the quotation marks be removed from around the defined term “*back-up metering information*”; and
- (b) the “,” be removed from the end of the sentence.

1.3 **Definition of the expression “*certification*”:** It is proposed that the “.” at the end of the sentence in paragraph (c) be removed.

1.4 **Definition of the expression “*grid reliability standards*”:** It is proposed that:

- (a) the quotation marks be removed from around the defined term “*grid reliability standards*”; and
- (b) the “,” be removed from the end of the sentence.

1.5 **Definition of the expression “*installation control point and ICP*”:** It is proposed that the defined term be shifted to below the defined term “*input information*” and above the defined term “*installation type*”.

1.6 **Definition of the expression “*losses*”:** It is proposed that the:

- (a) quotation marks be removed from around the defined term “*losses*”; and
- (b) “,” be removed from the end of the sentence.

1.7 **Definition of the expression “*network supply point*” and “*NSP*”:** It is proposed that:

- (a) a “,” be inserted at the end of each sentence in paragraphs (a), (b), and (c); and
- (b) the word “*local network*” in paragraph (c) should be bolded as it is a defined term in part A.

1.8 **Definition of the expression “*outage constraint*”:** It is proposed that the word “*Part*” be replaced with the word “*part*” (with a lower case “*p*”).

- 1.9 **Definition of the expression “*profile applicant*”:** It is proposed that the:
- (a) quotation marks be removed from around the defined term “***profile applicant***” in part A; and
 - (b) “;” be removed from the end of the sentence.
- 1.10 **Definition of the expression “*reconciliation participant*”:** It is proposed that the word “***owner***” should not be bolded as it is not a defined term in part A.
- 1.11 **Definition of the expression “*schedule of dispatch prices, dispatch quantities, dispatch arc flows, dispatch group constraint arc flows, group constraint formulas and HVDC component flows*”:** It is proposed that the:
- (a) quotation marks should be removed from around the defined term “***schedule of dispatch prices, dispatch quantities, dispatch arc flows, dispatch group constraint arc flows, group constraint formulas and HVDC component flows***”;
 - (b) word “*constraint*” in the second and fourth lines of the defined term should be replaced with the word “*constraint*”; and
 - (c) “;” be removed from the end of the sentence.
- 1.12 **Definition of the expression “*unaccounted for electricity*”:** The “;” at the end of paragraph (a) should not be bolded.

2. Part D

- 2.1 **Rule 3.6:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 2.2 **Rule 10.2:** It is proposed that the “)” after the term “*participant*” in the last line be removed.
- 2.3 **Contents list of code of practice D2:** It is proposed that the words “*Accreditation and*” in the contents page be removed. This proposed amendment is recommended because the heading of rule 4.2 of **code of practice D2** is “*ISO certification*”.

3. Part E

- 3.1 **Rule 2.2.1:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 3.2 **Rule 2.2.2:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 3.3 **Rule 2.2.3:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 3.4 **Rule 3:** The term “*ICPs*” in the penultimate line of the first paragraph is a defined term in part A, so it is proposed that it should be bolded.
- 3.5 **Rule 8.5:** It is proposed that the word “*where*” in the first line of the second paragraph be replaced with the word “*if*”.
- 3.6 **Rule 14.1:** It is proposed that the term “*ICPs*” in the first line be replaced with the term “***ICPs***” (with a bold “s”).
- 3.7 **Rule 14.6:** It is proposed that the term “*ICPs*” in the second line be replaced with the term “***ICPs***” (with a bold “s”).
- 3.8 **Rule 23.1:** The term “*consumption period*” is a defined term in part A, so it is proposed that the word “*period*” should be bolded.
- 3.9 **Rule 1.1.3 of schedule E1:** It is proposed that the cross-reference “2.1” in the third line of the last paragraph be replaced with “2.2”. This proposed amendment is recommended because it is proposed that rule 2.1 of schedule E1 be repealed.
- 3.10 **Rule 2.3 of schedule E1:** It is proposed that the cross-reference “*rule 8.5*” in the last line be removed.
- 3.11 **Rule 2.7 of schedule E1:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 3.12 **Rule 2.12 of schedule E1:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 3.13 **Rule 3.6.1 of schedule E1:** The term “*unmetered load*” in the first line is a defined term in part A, so it is proposed that it should be bolded.
- 3.14 **Rule 3.6.2 of schedule E1:** The term “*profile class*” is a defined term in part A, so it is proposed that the word “*class*” should be bolded.
- 3.15 **Rule 4.1.1 of schedule E1:** The term “*electrical installations*” in the first line is a defined term in part A, so it is proposed that it should be bolded.
- 3.16 **Rule 4.2.1 of schedule E1:** The term “*electrical installations*” in the first line is a defined term in part A, so it is proposed that it should be bolded.

- 3.17 **Rule 4.5 of schedule E1:** It is proposed that the extra space between the words “*relevant*” and “*retailer*” in the first line be removed.
- 3.18 **Rule 4.5.1 of schedule E1:** The term “*electrical installations*” in the first line is a defined term in part A, so it is proposed that it should be bolded.
- 3.19 **Rule 4.7.2 of schedule E1:** It is proposed that the word “*and*” be inserted after the term “*reconciliation information;*” at the end of the sentence.
- 3.20 **Rule 6 of schedule E1:** It is proposed that the term “*ICPs*” in the penultimate and last lines be replaced with “***ICPs***” (with a bold “*s*”).
- 3.21 **Rule 8.2.2 of schedule E1:** It is proposed that the:
- (a) term “*business day*” in the second line should be bolded as it is a defined term in part A; and
 - (b) term “*distributor*” in the penultimate line should be bolded as it is a defined term in part A.
- 3.22 **Rule 1.4 of schedule E2:** The term “***meter reading***” in the first line is a defined term in part A, so it is proposed that the word “*reading*” should be bolded.
- 3.23 **Rule 1.4.1 of schedule E2:** The term “***meter reading***” in the last line is a defined term in part A, so it is proposed that the word “*reading*” should be bolded.
- 3.24 **Rule 1.4.2 of schedule E2:** The term “***meter reading***” in the fourth line is a defined term in part A, so it is proposed that the word “*reading*” should be bolded.
- 3.25 **Rule 1.5 of schedule E2:** The term “***meter reading***” in the first and third line is a defined term in part A, so it is proposed that the word “*reading*” should be bolded.
- 3.26 **Rule 2.4.2 of schedule E2:** The term “***validated meter readings***” is a defined term in part A, so it is proposed that the word “*validated*” should be bolded.
- 3.27 **Rule 4.5 of schedule E2:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.

4. Part G

- 4.1 **Rule 3.2.2.3 of section V:** It is proposed that the word “*will*” in the first line be replaced with the word “*must*”.
- 4.2 **Rule 3.2.3.4 of section V:** It is proposed that the word “*will*” in the first line be replaced with the word “*must*”.
- 4.3 **Rule 3.3.2.3 of section V:** It is proposed that the word “*will*” in the first line be replaced with the word “*must*”.

5. Part J

- 5.1 **Rule 2.2:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.2 **Rule 2.4:** It is proposed that a “,” after the word “*that*” in the second line be inserted.
- 5.3 **Rule 4.2.2:** The term “*loss*” in the last line is a defined term in part A, so it is proposed that it should be bolded.
- 5.4 **Rule 4.4:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.5 **Rule 5:** It is proposed that the word “*one*” in the fifth and sixth line be replaced with “1”.
- 5.6 **Rule 6.1:** It is proposed that the word “*two*” in the first line be replaced with “2”.
- 5.7 **Rule 6.3.4:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.8 **Rule 10.6:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.9 **Rule 11.1:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.10 **Rule 11.4.4.3:** It is proposed that the word “*If*” in the first line be replaced with “*if*” (with a lower case “*i*”).
- 5.11 **Rule 11.4.7.2:** It is proposed that the word “*two*” in the first line be replaced with “2”.
- 5.12 **Rule 12.3:** It is proposed that the word “*where*” in the first line of the last paragraph be replaced with the word “*if*”.
- 5.13 **Rule 12.4:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.14 **Rule 12.5:** It is proposed that the word “*three*” in the second line be replaced with “3”.
- 5.15 **Rule 16:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.16 **Rule 20:** The term “*reconciliation participant*” in the second line is a defined term in part A, so it is proposed that it should be bolded.
- 5.17 **Rule 5.2.1 of schedule J1:** It is proposed that the:

- (a) word “*this*” after the word “*of*” in the second line be removed; and
 - (b) the word “*J1*” after the word “*schedule*” in the second line be inserted.
- 5.18 **Rule 6.6 of schedule J1:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.19 **Rule 6.7.2 of schedule J1:** The term “*audited*” is defined in part A, so it is proposed that it should be bolded.
- 5.20 **Rule 1 of schedule J2:** It is proposed that a “,” after the word “*process*” in the second line be inserted.
- 5.21 **Rule 6.1.4 of schedule J2:** It is proposed that the word “*and*” be inserted after the “;” at the end of the last sentence.
- 5.22 **Rule 6.2 of schedule J2:** It is proposed that the word:
- (a) “*where*” in the first line of the last paragraph be replaced with the word “*if*”; and
 - (b) word “*one*” in the fourth line of the second paragraph be replaced with “*1*”.
- 5.23 **Rule 7.1 of schedule J2:** The term “***half-hour metering information***” in the first line is a defined term in part A, so it is proposed that the word “*information*” should be bolded.
- 5.24 **Rule 7.2 of schedule J2:** The term “***meter readings***” in the penultimate line of the second paragraph is a defined term in part A, so it is proposed that the word “*readings*” should be bolded.
- 5.25 **Rule 9.1 of schedule J2:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.26 **Rule 9.1.1 of schedule J2:** It is proposed that the word “*or*” at the end of the sentence be removed.
- 5.27 **Rule 9.1.3 of schedule J2:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.28 **Rule 9.2 of schedule J2:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.29 **Rule 9.2.1 of schedule J2:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.30 **Rule 2.1 of schedule J3:** It is proposed that the words “*where each*” in the first line be replaced with the words “*if a*”.

- 5.31 **Rule 2.2.1.1 of schedule J3:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.32 **Rule 2.2.1.2 of schedule J3:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.33 **Rule 2.2.2.1 of schedule J3:** It is proposed that the:
- (a) words “*within the*” should not be bolded as it is not a defined term in part A; and
 - (b) word “*consumption period*” should be bolded as it is a defined term in part A; and
 - (c) “; *and*” at the end of the sentence should not be bolded.
- 5.34 **Rule 2.2.2.2 of schedule J3:** It is proposed that the words “*within the period covered KWh_{Px}*.” should not be bolded as it is not a defined term in part A.
- 5.35 **Rule 3 of schedule J4:** It is proposed that the:
- (a) term “*trader*” in the first line should be bolded as it is a defined term in part A; and
 - (b) word “**ICPs**” in the fifth line be replaced with “**ICPs**” (with a bold “s”).
- 5.36 **Rule 4.1.2 of schedule J4:** The term “***reconciliation manager***” is a defined term in part A, so it is proposed that the word “*manager*” should be bolded.
- 5.37 **Rule 4.2.2 of schedule J4:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.38 **Rule 6.1 of schedule J4:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.39 **Rule 6.1.1 of schedule J4:** It is proposed that the word “*and*” at the end of the last sentence be removed.
- 5.40 **Rule 6.2 of schedule J4:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.41 **Rule 6.3.3 of schedule J4:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.42 **Rule 6.5.1 of schedule J4:** It is proposed that the word “*or*” at the end of the sentence be removed.
- 5.43 **Rule 8.1 of schedule J4:** It is proposed that the:
- (a) term “*loss*” in the last line of the first paragraph should be bolded as it is a defined term in part A; and

- (b) “,” after the term “*area*” in part (a) of the paragraph beginning TOT_{BA} be replaced with a “;”.
- 5.44 **Rule 9.2.2 of schedule J4:** The term “*loss*” in the second line of the paragraph beginning “AC_{Ri}” is a defined term in part A, so it is proposed that it should be bolded.
- 5.45 **Rule 10.1 of schedule J4:** It is proposed that the term “*consumption period*” above “SC_{Ri}” should be bolded as it is a defined term in part A.
- 5.46 **Rule 10.2 of schedule J4:** It is proposed that:
- (a) the term “*loss*” in third line of the first paragraph should be bolded as it is a defined term in part A;
- (b) the term “*loss*” in the penultimate line of the paragraph beginning QILU_{Ri} should be bolded as it is a defined term in part A; and
- (c) a “.” be inserted after the term “10.1” in the last line.
- 5.47 **Rule 11 of schedule J4:** The term “*trading*” in the third line is a defined term in part A, so it is proposed that it should be bolded.
- 5.48 **Rule 12 of schedule J4:** It is proposed that the:
- (a) term “*loss*” in the third line should be bolded as it is a defined term in part A; and
- (b) term “*reconciliation manager*” in the last line is a defined term in part A, so it is proposed that it should be bolded.
- 5.49 **Rule 12.3.1 of schedule J4:** It is proposed that a “.” be inserted after the term “12.2” in the last line.
- 5.50 **Rule 14.1 of schedule J4:** It is proposed that the “.” at the end of the sentence in the last line be replaced with a “;”.
- 5.51 **Rule 14.1.6 of schedule J4:** It is proposed that the word “*two*” in the third line be replaced with “2”.
- 5.52 **Rule 15.4 of schedule J4:** It is proposed that the:
- (a) term “*direct purchaser*” in the title should be bolded;
- (b) term “*trading*” in the last line should be bolded as it is a defined term in part A; and
- (c) word “*and*” be inserted after the “;” at the end of the last sentence.

- 5.53 **Rule 1 of schedule J5:** The term “*trading*” is a defined term in part A, so it is proposed that it should be bolded.
- 5.54 **Rule 3.1 of schedule J5:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.55 **Rule 3.5 of schedule J5:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.56 **Rule 3.8 of schedule J5:** It is proposed that the word “*where*” in the first line be replaced with the word “*if*”.
- 5.57 **Rule 5.2 of schedule J5:** The term “***profile class***” in the third paragraph is a defined term in part A, so it is proposed that the word “*class*” should be bolded.
- 5.58 **Rule 6 of schedule J5:** The term “*losses*” in the last line is a defined term in part A, so it is proposed that it should be bolded.
- 5.59 **Rule 6.1 of schedule J5:** It is proposed that the:
- (a) word “***where***” above the paragraph beginning “*TOT_{BA}*” should not be bolded; and
 - (b) the “.” at the end of the last sentence of the paragraph beginning “*LN*” be replaced with “; *and*”.
- 5.60 **Rule 6.2 of schedule J5:** The term “*losses*” in the penultimate line in the paragraph beginning “*HHR_M*” is a defined term, so it is proposed that it should be bolded.
- 5.61 **Rule 6.3 of schedule J5:** It is proposed that the “.” after the term “*above*” in the last line in the paragraph beginning “*NHH_{Tot}*” be replaced with a “;”.
- 5.62 **Rule 6.4 of schedule J5:** It is proposed that the word “***where***” above the paragraph beginning “*GXP_{Res}*” should not be bolded.
- 5.63 **Rule 7.1.2 of schedule J5:** The term “***profile class***” is a defined term in part A, so it is proposed that the word “*class*” should be bolded.
- 5.64 **Rule 7.1.3 of schedule J5:** The term “*profile*” in the last line is a defined term in part A, so it is proposed that it should be bolded.
- 5.65 **Rule 8.3.4 of schedule J5:** It is proposed that the “,” after the term “*process*” in the last line be replaced with a “;”.
- 5.66 **Rule 8.4.1 of schedule J5:** The word “*meter*” is a defined term in part A in the last line of the first paragraph, so it is proposed that it should be bolded.
- 5.67 **Rule 8.9.2 of schedule J5:** It is proposed that the word “*one*” in the eleventh line of the second paragraph be replaced with “*1*”.

- 5.68 **Rule 8.9.3.3 of schedule J5:** The term “*trading*” in the fourth line is a defined term in part A, so it is proposed that it should be bolded.
- 5.69 **Rule 9 of schedule J5:** It is proposed that the word “*will*” in the first line of the second paragraph be replaced with the term “*must*”.
- 5.70 **Rule 10 of schedule J5:** It is proposed the “*three*” in the second line be replaced with “3”.
- 5.71 **Rule 10.2 of schedule J5:** It is proposed that the word “*where*” be replaced with the word “*if*”.
- 5.72 **Rule 1.1 of appendix 1 to schedule J5:** It is proposed that the:
- (a) term “*meters*” should be bolded as it is a defined term in part A; and
 - (b) word “*where*” in the first line of the tail piece be replaced with the word “*if*”.
- 5.73 **Rule 1.4 of appendix 1 to schedule J5:** It is proposed that the word “***Uncontrolled***” in the title be replaced with “***uncontrolled***” (with a lowercase “*u*”).
- 5.74 **Rule 1.7 of appendix 1 to schedule J5:** The term “***profile class***” is a defined term in part A, so it is proposed that the word “*class*” should be bolded.
- 5.75 **Rule 2.2.1 of appendix 1 to schedule J5:** It is proposed that the:
- (a) term “*Half hour*” in the first line should be bolded as it is a defined term in part A;
 - (b) words “*data from*” in the first line should not be bolded as it is not a defined term in part A;
 - (c) words “*metering installations*” in the first line should be bolded as it is a defined term in part A;
 - (d) words “*that have been granted interim*” in the second and penultimate lines should not be bolded as it is not a defined term in part A;
 - (e) term “*certification*” in the penultimate line should be bolded as it is a defined term in part A;
 - (f) words “*under the provisions of*” in the penultimate line should not be bolded as it is not a defined term in part A;
 - (g) term “*code of practice*” in the penultimate and last line should be bolded as it is a defined term in part A;
 - (h) words “*D3 may be either:*” in the last line should not be bolded as it is not a defined term in part A; and

- (i) word “*class*” in the last line should be bolded as “*profile class*” is a defined term in part A.

5.76 **Rule 2.2 of appendix 1 to schedule J5:** It is proposed that the:

- (a) term “*reconciliation period*” in the third line of the penultimate paragraph should be bolded as it is a defined term in part A; and
- (b) word “*where*” in the first line of the last paragraph should be replaced with the word “*if*”.

It is proposed that the rule be renumbered and set out as follows:

2.2 Profile class 2.2 half hour data, metering installations with interim certification

Half hour data from **metering installations** that have been granted interim **certification** under the provisions of **code of practice D3** may be either:

2.2.1 Regarded as a 100% sampled **profile** until the expiry of the interim exemption validity period for those **metering installations**, as specified in **code of practice D3**. From that date, if the **metering installation** has not been fully **certified** in accordance with **code of practice 3**, the **metering installation** must be assigned to **profile class 1.4**; or

2.2.2 Treated as if it was derived from fully **certified metering installations** until the expiry of the interim exemption validity period for those **metering installations**, as specified in **code of practice 3**. For the avoidance of doubt, the **half hour** data must be derived from an interrogation of the **metering installation** and must be submitted to the **reconciliation manager** in accordance with schedule J4.

For a 100% sampled **profile**, a method of calculating **forward estimates** must be adopted in accordance with rule 2 of schedule J3. A **profile** shape for the **reconciliation period** must be submitted to the **reconciliation manager** with the estimated data.

If the gathering, validation and repair of **volume information** from a **metering installation** with interim **certification** is carried out in a manner which is not in accordance with schedule J2, these processes must be fully documented in the quality procedures of the **participant**.

5.77 **Rule 1.1 of appendix 2 to schedule J5:** The term “*consumption patterns*” is a defined term in part A, so it is proposed that it should be bolded.

5.78 **Rule 2 of appendix 3 to schedule J5:** It is proposed that a “.” be inserted after the number “0.99” at the end of the sentence of the paragraph beginning “*Confidence level*”.