

## Market Administrator's Compliance Report for April 2009

This report is prepared pursuant to rule 9.2 of section III of part G; rule 7.2 of section V of part G; rule 13.2 of part H and rule 14 of part J of the Electricity Governance Rules 2003 (Rules). It is published by the 15th business day of each month and includes self-reported breaches of the Rules by the System Operator, Pricing Manager, Clearing Manager and the Reconciliation Manager for the previous calendar month. The report also contains information on the overall number of breaches notified to Market Governance during the same period.

### Self-reported breaches by the System Operator *(as per rule 9.1.1 of section III of part G)*

Date of breach	Time of breach	Rule breached	Nature of breach	Reasons for the breach
19/12/2008	13:30 – 13:59	Rule 116, schedule C5 of Part C	Failed to select and dispatch the lowest offer to provide half hour frequency keeping.	Not provided
15/12/2008	07:00 – 21:30	Rule 1.3.4.7, schedule G6 of Part G and Rule 22.5, schedule C4 of Part C	Failed to incorporate correct information in the schedule and failed to apply the DCPole1Max temporary constraint correctly.	Not provided
28/01/2009	19:00 – 19:19	Rule 1.3.1.3, schedule G6 of Part G	Failed to incorporate correct information in the schedule regarding KIK_STK1.1 and KIK_STK2.1.	Not provided
29/01/2009 – 30/01/2009	23:00 – 08:10	Rule 20, schedule C4 of Part C and Rule 1.3.1.3, schedule G6 of Part G	Failed to incorporate correct information in the schedule regarding MGM_MST1.1 and failed to apply the temporary security restraint MGM_MST_1 or	Not provided

Date of breach	Time of breach	Rule breached	Nature of breach	Reasons for the breach
			MGM_WDV_1_WELLIN GTON_STABILITY_O_1 _Z.	
01/01/2008 – 31/12/2008		Rule 11.7.2, schedule IV of Part C	Failed to provide the correct total allocable costs for voltage to a participant.	Not provided
28/02/2009	11:00 – 11:59	Rule 1.3.4.7, schedule G6 of Part G	Failed to incorporate correct information in the schedule regarding CPK_WWD_WIL3.3.	Not provided
11/02/2009 – 13/02/2009	07:08 – 18:29	Rule 1.3.1.3 and 1.3.4.7, schedule G6 of Part G	Failed to incorporate correct information in the pre-dispatch schedule regarding GLN_DS_1587_1597.	Not provided
13/02/2009	13:00 – 14:00	Rule 3.7.2 and Rule 3.7.4, section III of Part G	Failed to commence preparation of pre- dispatch schedule within two hours.	Not provided
23/02/2009	04:04 – 05:59	Rule 4.3, Section III of Part G	Applied a discretionary action in relation to KAG inappropriately.	Not provided

**Self-reported breaches by the Pricing Manager** (as per rule 7.1.1 of section V of part G)

There were no self-reported breaches by the Pricing Manager

**Self-reported breaches by the Clearing Manager** (as per rule 13.1 of part H)

There were no self-reported breaches by the Clearing Manager

**Self-reported breaches by the Reconciliation Manager** (as per rule 13.1 of part J)

There were no self-reported breaches by the Reconciliation Manager

### **Notified breaches by other participants**

During the month of April 2009 the following notifications against other market participants were received;

Notified by	Number of notifications against other participants
System Operator	5
Reconciliation Manager	0
Clearing Manager	0
Market Administrator	0
Other market participants including self reported breaches	13
Electricity Commission	0

### **Alleged breaches notified by the Market Administrator through the Reconciliation Participant and Distributor Audits**

During February 2009 notifications of alleged breaches from the Reconciliation Participant and Distributor Audits were reported to Market Governance against 31 market participants.

***This report was published on 20 May 2009. For further inquiries please contact Market Governance at the Electricity Commission or send an email to [compliance@electricitycommission.govt.nz](mailto:compliance@electricitycommission.govt.nz).***