

Market Administrator's Compliance Report for July 2009

This report is prepared pursuant to rule 9.2 of section III of part G; rule 7.2 of section V of part G; rule 13.2 of part H and rule 14 of part J of the Electricity Governance Rules 2003 (Rules). It is published by the 15th business day of each month and includes self-reported breaches of the Rules by the System Operator, Pricing Manager, Clearing Manager and the Reconciliation Manager for the previous calendar month. The report also contains information on the overall number of breaches notified to Market Governance during the same period.

Self-reported breaches by the System Operator *(as per rule 9.1.1 of section III of part G)*

Date of breach	Time of breach	Rule breached	Nature of breach	Reasons for the breach
05/02/2009	12:00 - 13:45	Rule 1.3.4.7 of schedule G6 of part G	The System Operator failed to incorporate correct information in the schedule regarding OTA_DS_93 and OTA_DS_95.	Not provided
07/03/09, 09/03/09 - 10/03/09	07/03/09 13:07 - 13:39 09/03/09 20:54 - 10/03/09 00:43 10/03/09 03:28 - 03:34 10/03/09 03:38 - 08:08 10/03/09 10:40 - 11:29	Rule 1.3.4.6 of schedule G6 of part G	The System Operator failed to use the current output level of WWD1103 WWD0 in the dispatch schedule.	Not provided
13/05/2009	17:00 - 19:59	Rule 3.5.13 of section III of part G	System Operator failed to specify the expected HVDC risk offsets for the pre-dispatch schedule	Not provided
21/07/2009	11:30 - ongoing	Clause 61 of Schedule C4 of Part C	The System Operator is not using reasonable endeavours to send to	Not provided

Date of breach	Time of breach	Rule breached	Nature of breach	Reasons for the breach
			the information system service provider the input information used to calculate a standby residual shortfall in a trading period.	

Self-reported breaches by the Reconciliation Manager (as per rule 13.1 of part J)

12/02/2009		Rule 8.2 of schedule E1 of part E	The reconciliation manager failed to advise the registry of a new NSP identifier (HBS0011 BCHB EN) within one business day of its creation.	The breach was a consequence of a breach by a market participant that failed to inform the Registry about the creation of new ICPs.
------------	--	-----------------------------------	---	---

Self-reported breaches by the Pricing Manager (as per rule 7.1.1 of section V of part G)

There were no self-reported breaches by the Pricing Manager

Self-reported breaches by the Clearing Manager (as per rule 13.1 of part H)

There were no self-reported breaches by the Clearing Manager

Notified breaches by other participants

During the month of July 2009 the following notifications against other market participants were received:

Notified by	Number of notifications against other participants
System Operator	2
Reconciliation Manager	7

Clearing Manager	2
Market Administrator	1
Other market participants including self reported breaches	13
Electricity Commission	2

This report was published on 17 August 2009. For further inquiries please contact Market Governance at the Electricity Commission or send an email to compliance@electricitycommission.govt.nz.